

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: July 30, 2001
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPL. NO. (if known): 09/889571
INTERNATIONAL APPLICATION NO.: PCT/JP00/00452	INTERNATIONAL FILING DATE: January 28, 2000	PRIORITY DATE CLAIMED: January 28, 1999
TITLE OF INVENTION: POLYMER, PROCESS FOR PRODUCING THE POLYMER AND CURABLE COMPOSITION CONTAINING THE POLYMER		
APPLICANT(S) FOR DO/EO/US: Yoshiki NAKAGAWA, Kenichi KITANO and Nao FUJITA		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)): <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.		
12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. ASSIGNEE NAME AND ADDRESS: KANEKA CORPORATION, Osaka-shi, Japan Please publish the assignee data with the application.		
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment		
14. <input type="checkbox"/> A substitute specification.		
15. <input type="checkbox"/> A change of power of attorney and/or address letter.		
16. <input checked="" type="checkbox"/> Other items or information: International Search Report.		

U.S. APPLICATION NO. (if known) 09/889571		INTERNATIONAL APPLICATION NO. PCT/JP00/00452		DATE: July 30, 2001					
17. <u>X</u> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): Search Report has been prepared by the EPO or JPO: \$860.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1000.00 International preliminary examination fee (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =				CALCULATIONS	PTO USE ONLY				
				\$ 860.00					
				Surcharge of \$130.00 for furnishing the oath or declaration later than __ 20 x __ 30 months from the earliest claimed priority date (37 DVR 1.492(e)).					
				\$ 130.00					
				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
				TOTAL	51-20 =	31	X \$ 18.00	\$ 558.00	
INDEPENDENT	2 - 3 =		X \$ 80.00						
Multiple dependent claims(s) (if applicable)			+ \$270.00						
TOTAL OF ABOVE CALCULATIONS =				\$1,548.00					
Reduction by 1/2 for filing by small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).									
SUBTOTAL =				\$1,548.00					
Processing fee of \$130.00 for furnishing the English translation later than __ 20 __ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +									
TOTAL NATIONAL FEE =				\$1,548.00					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +									
TOTAL FEES ENCLOSED =				\$1,548.00					
				Amount to be:					
				refunded	\$ _____				
				charged	\$ _____				

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a. ☒ A check in the amount of \$1,548.00 to cover the above fees is enclosed. (\$860.00 for filing fee; \$130.00 for late filing of the declaration and \$558.00 for 31 additional claims). (This paper is filed in triplicate)


b. ☐ Please charge my Deposit Account No. 01-2340 in the amount of \$ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-2340.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

Send All Correspondence To:


23850
PATENT TRADEMARK OFFICE



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09/889571

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Yoshiki NAKAGAWA et al.**

Serial No.: **Not Yet Assigned**
(PCT/JP00/00452)

Filed: **July 30, 2001**

For: **POLYMER, PROCESS FOR PRODUCING THE POLYMER AND CURABLE
COMPOSITION CONTAINING THE POLYMER**

PRELIMINARY AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

July 30, 2001

Sir:

Prior to the calculation of the filing fees of the above application, please amend the application as follows:

IN THE CLAIMS:

Please amend claims 5, 8, 11-13, 17-22, 24-25, 30, 32, 35-39, 43-48 and 50-51 as follows:
as follows:

5. (Amended) The production method according to Claim 1,
wherein the compound (I) is a functional group-containing cyclic olefin.

22. (Amended) A curable composition comprising:

(A) a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 1,

and (B) a compound having at least two functional groups capable of reacting with a hydroxyl or amino group.

24. (Amended) A curable composition comprising:

a vinyl polymer, which has a crosslinking silyl group at a molecular chain terminus and is producible by the production method according to Claim 1.

25. (Amended) A curable composition comprising:

(A) A vinyl polymer, which has an epoxy group at a molecular chain terminus and is producible by the production method according to Claim 1,

and (B) a curing agent for epoxy resins.

30. (Amended) The production method according to Claim 26,

wherein a conjugated polyene structure in the conjugated polyene compound (II) is a conjugated diene structure.

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32. (Amended) The production method according to Claim 26,
wherein the living radical polymerization is carried out in the manner of atom transfer radical polymerization.

35. (Amended) The production method according to Claim 32,
wherein an organic halide having a functional group in addition to an initiation site or a halosulfonyl compound having a functional group in addition to an initiation site is used as an initiator.

36. (Amended) The production method according to Claim 32,
wherein an initiator having a plurality of initiation sites is used as an initiator.

37. (Amended) The production method according to Claim 26,
wherein the conjugated polyene compound (II) is added at the end point of the polymerization reaction.

38. (Amended) A vinyl polymer
having a functional group at a molecular chain terminus
and being obtainable by treating a vinyl polymer, which has the functional group at a molecular chain terminus and is obtainable by the production method according to Claim 26, with an alkaline compound to thereby eliminate the remaining terminal halogen.

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39. (Amended) A vinyl polymer

having a functional group at a molecular chain terminus

and being obtainable by the production method according to Claim 26.

43. (Amended) The polymer according to Claim 39

which has a number average molecular weight of 500 to 100,000.

44. (Amended) The polymer according to Claim 39

which has a weight average molecular weight (Mw)/number average molecular weight (Mn)

ratio (Mw/Mn) of less than 1.8 as determined by gel permeation chromatography.

45. (Amended) A vinyl polymer

having a crosslinking silyl group at a molecular chain terminus and

being producible by reacting a vinyl polymer, which has an alkenyl group at a molecular chain terminus and is producible by the production method according to Claim 26, with a crosslinking silyl-containing hydroxilane compound.

46. (Amended) A vinyl polymer

having a crosslinking silyl group at a molecular chain terminus

and being producible by reacting a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 29, with

a crosslinking silyl-containing compound having a functional group capable of reacting with a hydroxyl or amino group.

47. (Amended) A curable composition comprising:

(A) a vinyl polymer, which as an alkenyl group at a molecular chain terminus and is producible by the method according to Claim 26,

and (B) a compound having at least two hydrosilyl groups.

48. (Amended) A curable composition comprising:

(A) a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 29,

and (B) a compound having at least two functional groups capable of reacting with a hydroxyl or amino group.

50. (Amended) A curable composition comprising:

a vinyl polymer, which has a crosslinking silyl group at a molecular chain terminus and is producible by the production method according to Claim 29.

51. (Amended) A curable composition comprising:

(A) a vinyl polymer, which has an epoxy group at a molecular chain terminus and is producible by the production method according to Claim 29,

and (B) a curing agent for epoxy resins.

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REMARKS


The above amendment is believed to place the claims in proper condition for examination.
Early and favorable action is awaited.

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page is captioned "Version with markings to show changes made."

In the event there are any additional fees required, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

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Yoshiki NAKAGAWA et al.

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VERSION WITH MARKINGS TO SHOW CHANGES MADE

Claims 5, 8, 11-13, 17-22, 24-25, 30, 32, 35-39, 43-48 and 50-51 have been amended as follows:

5. (Amended) The production method according to [any of Claims 1 to 4] Claim 1, wherein the compound (I) is a functional group-containing cyclic olefin.
8. (Amended) The production method according to [any of Claims 1 to 7] Claim 1, wherein the living radical polymerization is carried out in the manner of atom transfer radical polymerization.
11. (Amended) The production method according to [any of Claims 8 to 10] Claim 8, wherein an organic halide having a functional group in addition to an initiation site or a halosulfonyl compound having a functional group in addition to an initiation site is used as an initiator.
12. (Amended) The production method according to [any of Claims 8 to 11] Claim 8, wherein an initiator having a plurality of initiation sites is used as an initiator.
13. (Amended) A vinyl polymer
having a functional group at a molecular terminus
and being obtainable by the production method according to [any of Claims 1 to 12] Claim
1.
17. (Amended) The polymer according to [any of Claims 13 to 16] Claim 13
which has a number average molecular weight of 500 to 100,000.

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24. (Amended) A curable composition comprising:

a vinyl polymer, which has a crosslinking silyl group at a molecular chain terminus and is producible by the production method according to Claim 1[, 2, 3, 8, 9, 10, 11 or 12].

25. (Amended) A curable composition comprising:

(A) A vinyl polymer, which has an epoxy group at a molecular chain terminus and is producible by the production method according to Claim 1[, 2, 3, 8, 9, 10, 11 or 12].

and (B) a curing agent for epoxy resins.

30. (Amended) The production method according to [any of Claims 26 to 29] Claim 26,

wherein a conjugated polyene structure in the conjugated polyene compound (II) is a conjugated diene structure.

32. (Amended) The production method according to [any of Claims 26 to 31] Claim 26,

wherein the living radical polymerization is carried out in the manner of atom transfer radical polymerization.

35. (Amended) The production method according to [any of Claims 32 to 34] Claim 32,

wherein an organic halide having a functional group in addition to an initiation site or a halosulfonyl compound having a functional group in addition to an initiation site is used as an initiator.

36. (Amended) The production method according to [any of Claims 32 to 35] Claim 32,

wherein an initiator having a plurality of initiation sites is used as an initiator.

37. (Amended) The production method according to [any of Claims 26 to 36] Claim 26,

wherein the conjugated polyene compound (II) is added at the end point of the polymerization reaction.

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32, 33, 34, 35, 36, 37 or 38] with a crosslinking silyl-containing compound having a functional group capable of reacting with a hydroxyl or amino group.

47. (Amended) A curable composition comprising:

(A) a vinyl polymer, which has an alkenyl group at a molecular chain terminus and is producible by the method according to [any of Claims 26 to 38] Claim 26,
and (B) a compound having at least two hydrosilyl groups.

48. (Amended) A curable composition comprising:

(A) a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 29, [30, 32, 33, 34, 35, 36, 37 or 38,]
and (B) a compound having at least two functional groups capable of reacting with a hydroxyl or amino group.

50. (Amended) A curable composition comprising:

a vinyl polymer, which has a crosslinking silyl group at a molecular chain terminus and is producible by the production method according to Claim 29[, 30, 32, 33, 34, 35, 36, 37 or 38].

51. (Amended) A curable composition comprising:

(A) a vinyl polymer, which has an epoxy group at a molecular chain terminus and is producible by the production method according to Claim 29[, 30, 32, 33, 34, 35, 36, 37 or 38],
and (B) a curing agent for epoxy resins.

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SPECIFICATION

POLYMER, PROCESS FOR PRODUCING THE POLYMER AND CURABLE COMPOSITION CONTAINING THE POLYMER

5

TECHNICAL FIELD

The present invention relates to a vinyl polymer having a functional group at a molecular terminus, a production method of the same and a curable composition containing said polymer.

10

BACKGROUND ART

Polymers having a functional group at each of a plurality of molecular termini are known to crosslink by themselves or when combined with an appropriate curing agent and thereby give cured products excellent in heat resistance, durability, etc. Alkenyl-, hydroxyl- or crosslinking silyl-terminated polymers are typical examples. Alkenyl-terminated polymers are cured by crosslinking with a hydrosilyl group-containing compound as a curing agent or when subjected to photochemical reaction. Hydroxyl-terminated polymers, when reacted with a polyisocyanate, are cured under formation of urethane crosslinks. Crosslinking silyl-terminated polymers absorb moisture and give cured products in the presence of an appropriate condensation catalyst.

As examples of the main chain skeleton of such alkenyl-, hydroxyl- or crosslinking silyl-terminated polymers, there may be mentioned polyether type polymers such as polyethylene oxide, polypropylene oxide and polytetramethylene oxide; hydrocarbon type polymers such as polybutadiene, polyisoprene, polychloroprene, polyisobutylene, and hydrogenation products derived therefrom; polyester type polymers such as polyethylene terephthalate, polybutylene terephthalate and polycaprolactone and so forth. They are used in various fields of application according to the main chain skeleton and mode of crosslinking thereof.

Unlike those polymers obtainable by ionic polymerization or polycondensation, those functional group-terminated vinyl polymers which are obtainable by radical polymerization have scarcely been put to practical use. Among the vinyl polymers, (meth)acrylic polymers have those characteristics, such as high weathering resistance and transparency, among others, which cannot be attainable with the polyether type polymers, hydrocarbon type polymers and polyester type polymers mentioned above. Among them, those having an alkenyl or crosslinking silyl group on their side chain(s) are utilized in highly weathering resistant coatings. In the case of acrylic polymers, on the other hand, it is not easy to control the polymerization thereof because of side reactions involved therein; it is very difficult, for instance, to introduce a functional group into a terminus thereof.

If vinyl polymers having a crosslinking functional group, such as an alkenyl group, at a molecular chain terminus can be obtained in a simple and easy manner, cured products superior in cured product physical properties as compared with those having a crosslinking functional group on a side chain or chains will possibly be obtained. Thus, a number of researchers have so far searched for a method of producing them. It is not easy, however, to produce them industrially.

Japanese Kokai Publication Hei-05-255415 discloses a method of synthesizing (meth)acrylic polymers having an alkenyl group at both termini which uses an alkenyl-containing disulfide as a chain transfer agent. Japanese Kokai Publication Hei-05-262808 discloses a method of synthesizing (meth)acrylic polymers having an alkenyl group at both termini which uses a hydroxyl-containing disulfide as a chain transfer agent to thereby synthesize (meth)acrylic polymers having a hydroxyl group at both termini and then further utilizes the reactivity of the hydroxyl group. By these methods, however, it is not easy to realize the alkenyl group introduction into both termini with certainty. Further, for attaining terminal

Accordingly, it is an object of the present invention to
5 facilitate the production of vinyl polymers having a functional
group at a molecular chain terminus by realizing the
introduction of the functional group into a molecular chain with
certainty by using a compound which is relatively readily
available.

10

15

20

25

at a molecular chain terminus

30

35

concerned with a vinyl polymer, more specifically,

a vinyl polymer

having a functional group at a molecular chain terminus

and being obtainable by the above-mentioned method of

5 production;

a vinyl polymer

having a crosslinking silyl group at a molecular chain

terminus

and being producible by reacting a vinyl polymer, which

10 has an alkenyl group at a molecular chain terminus and is

producible by the method mentioned above, with a crosslinking

silyl-containing hydrosilane compound; and

a vinyl polymer

having a crosslinking silyl group at a molecular chain

15 terminus

and being producible by reacting a vinyl polymer, which

has a hydroxyl or amino group at a molecular chain terminus and

is producible by the method mentioned above, with a crosslinking

silyl group-containing compound having a functional group

20 capable of reacting with a hydroxyl or amino group.

In the first aspect thereof, the invention is further
concerned with a curable composition comprising the above vinyl
polymer, more specifically,

a curable composition comprising:

25 (A) a vinyl polymer, which has an alkenyl group at a
molecular chain terminus and is producible by the method
mentioned above

and (B) a compound having at least two hydrosilyl groups;

a curable composition comprising:

30 (A) a vinyl polymer, which has a hydroxyl or amino group
at a molecular chain terminus and is producible by the method
mentioned above

and (B) a compound having at least two functional groups
capable of reacting with a hydroxyl or amino group;

35 a curable composition comprising:

a curable composition comprising:

and (B) an epoxy resin curing agent.

As a result of intensive investigations, the present
tutors have invented a production method of a polymer having
us functional groups, typically alkenyl groups, at a
nus which comprises adding a conjugated polyene compound
living radical polymerization system.

which comprises adding a conjugated polyene compound (II)

In the second aspect thereof, the invention also relates to vinyl polymer, more specifically,

a vinyl polymer
having a functional group at a molecular chain terminus
and being obtainable by the method mentioned above;
a vinyl polymer
35 having a crosslinking silyl group at a molecular chain

terminus

and being producible by reacting a vinyl polymer, which has an alkenyl group at a molecular chain terminus and is producible by the method mentioned above, with a crosslinking silyl-containing hydrosilane compound; and

a vinyl polymer

having a crosslinking silyl group at a molecular chain terminus

and being producible by reacting a vinyl polymer, which
10 has a hydroxyl or amino group at a molecular chain terminus and
is producible by the method mentioned above, with a crosslinking
silyl group-containing compound having a functional group
capable of reacting with a hydroxyl or amino group.

In the second aspect thereof, the invention further
15 relates to a curable composition comprising the above vinyl
polymer, more specifically,

a curable composition comprising:

(A) a vinyl polymer, which has an alkenyl group at a molecular chain terminus and is producible by the method mentioned above

and (B) a compound having at least two hydrosilyl groups;
a curable composition comprising:

(A) a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the method mentioned above

and (B) a compound having at least two functional groups capable of reacting with a hydroxyl or amino group;

a curable composition comprising:

a vinyl polymer, which has a crosslinking silyl group at
30 a molecular chain terminus and is producible by the method
mentioned above; and

a curable composition comprising:

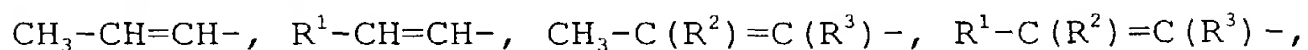
(A) a vinyl polymer, which has an epoxy group at a molecular terminus and is producible by the method mentioned above

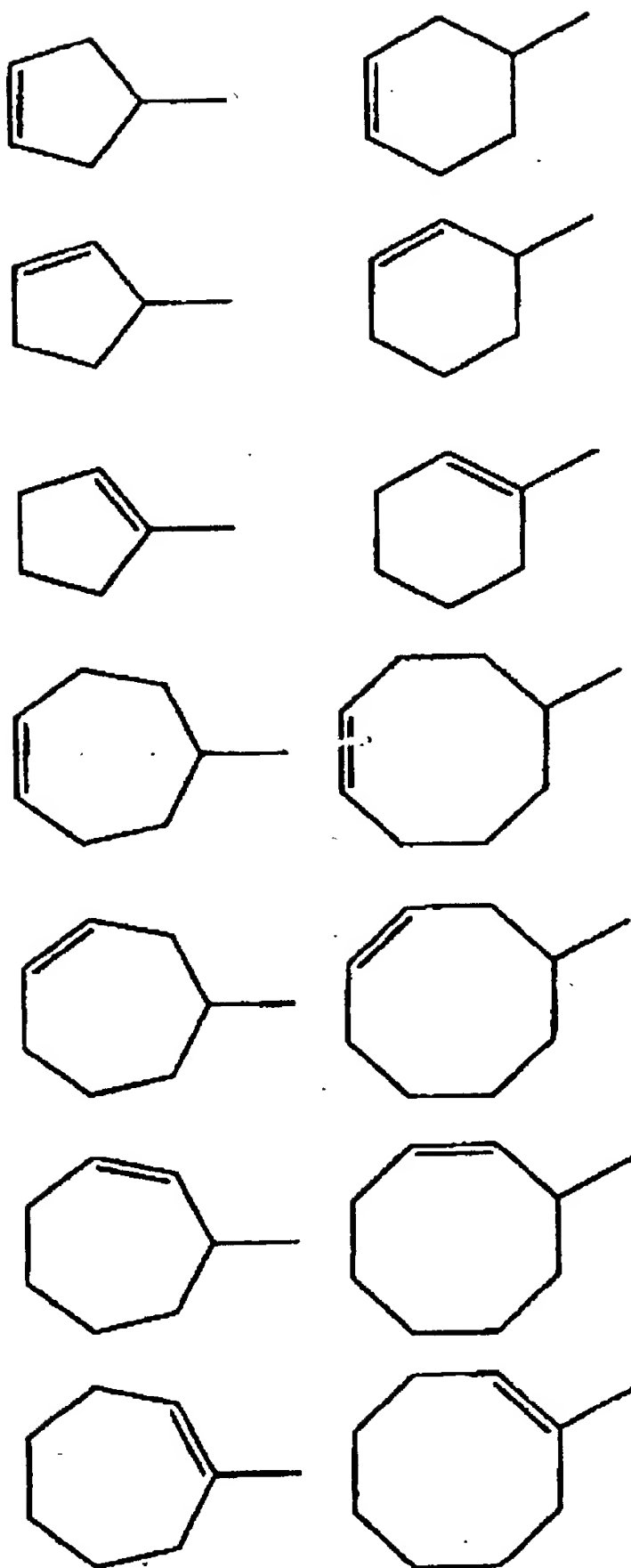
DETAILED DESCRIPTION OF THE INVENTION

5 According to the first aspect of the invention, a vinyl polymer having a functional group at a molecular terminus can be produced by adding a compound (I) having a functional group and an internal alkenyl group during or at the end point of polymerization, thereby reacting the internal alkenyl group with a growing polymer terminus so that said functional group is introduced into the molecular chain terminus, in the living radical polymerization of a radical-polymerizable vinyl monomer.

In the first aspect of the invention, it is possible to allow approximately one molecule of compound (I) to react with one molecular chain terminus. It is thus possible to obtain a functional group-terminated vinyl polymer having a very well controlled structure. Since the functional group is bonded to the main chain via a carbon-carbon bond, this vinyl polymer is very stable from the weathering resistance or like viewpoint.

The above internal alkenyl group which the compound (I) has is not particularly restricted but includes, among others, the following:





(In the formulas, R^1 , R^2 and R^3 each is an organic group containing 1 to 20 carbon atoms, preferably a hydrocarbon group, and they

Specific examples of R^1 , R^2 and R^3 include, but are not particularly limited to, the following:

(n is an integer of not less than 0, and the total number of carbon atoms in each group is not more than 20.)

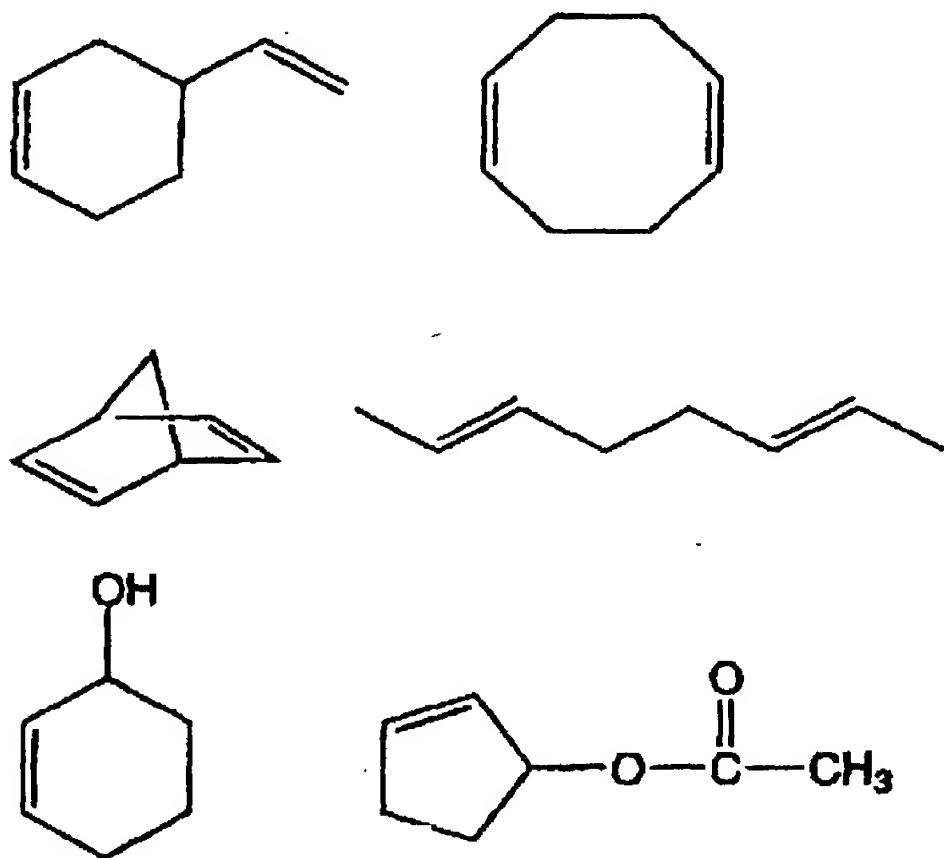
In the present specification, the term "terminal alkenyl group" represents a group having a structure such that two hydrogen atoms are bonded to one of the carbon atoms constituting a carbon-carbon double bond, namely a group containing $\text{CH}_2=\text{C}$. The term "internal alkenyl group" represents an alkenyl group other than said terminal alkenyl group.

When the functional group which the compound (I) has is a functional group possibly affecting the growing polymer terminus or catalyst, for example an amino, hydroxyl or carboxyl group, such functional group can be used in a form protected by a conventional protective group. As appropriate protective

groups, there may be mentioned acetyl, silyl, alkoxy and like groups.

The compound (I) is not particularly restricted but specifically includes, among others, the following:

5



The compound (I) is preferably a functional group-containing cyclic olefin. The cyclic olefin includes, within the meaning thereof, cyclic hydrocarbons in which the ring-constituting carbon-carbon bonds partly include a carbon-carbon double bond(s). More preferred are terminal alkenyl-containing cyclic olefins or internal alkenyl-containing cyclic olefins. Particularly preferred are 4-vinylcyclohexene and 1,5-cyclooctadiene.

The "living radical polymerization" proceeds at a high rate of polymerization and hardly undergoes any termination reaction and gives a polymer with a narrow molecular weight distribution (an Mw/Mn value of about 1.1 to 1.5) in spite of its being a mode of that radical polymerization which is

30 Among the "living radical polymerization" techniques,
the above-mentioned "atom transfer radical polymerization"
technique, which uses an organic halide or halosulfonyl
compound or the like as the initiator and a transition metal
complex as the catalyst for polymerizing vinyl monomers, in
35 addition to the above-mentioned features of "living radical

polymerization", gives a polymer terminally having a halogen or the like, which is relatively advantageous to functional group conversion, and the degree of freedom in initiator and catalyst designing and, therefore, is more preferred as the
5 production method of a vinyl polymer having a specific functional group. This atom transfer radical polymerization is described, for example, by Matyjaszewski et al. in the Journal of the American Chemical Society (J. Am. Chem. Soc.), 1995, vol. 117, pages 5614 ff.; Macromolecules, 1995, vol. 28,
10 pages 7901 ff.; Science, 1996, vol. 272, pages 866 ff.; WO 96/30421 and WO 97/18247, and by Sawamoto et al. in Macromolecules, 1995, vol. 28, pages 1721 ff., among others.

In the practice of the invention, the atom transfer radical polymerization method is preferred because of ease of control and for other reasons, although there is no particular restriction as to which of the methods or techniques mentioned above is to be employed.

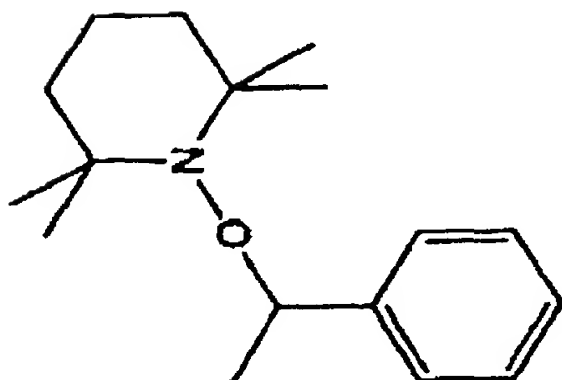
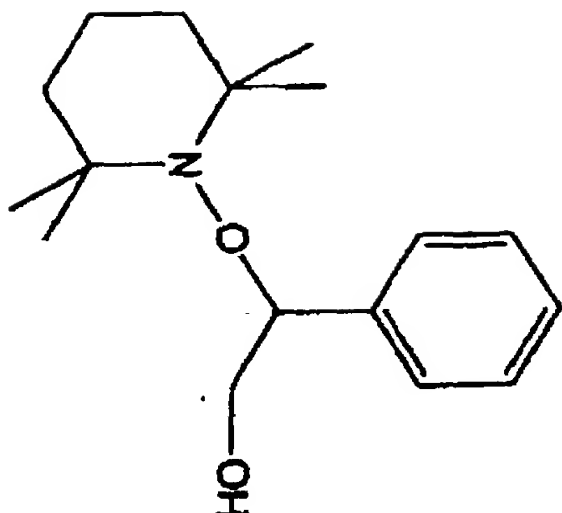
First, the technique which uses a radical capping agent such as a nitroxide compound is described. In this polymerization, a nitroxy free radical ($=N-O\cdot$), which is generally stable, is used as the radical capping agent. While such a compound is not restricted, nitroxy free radicals from cyclic hydroxy amines, such as the 2,2,6,6-substituted-1-piperidinyl oxyradical and 2,2,5,5-substituted-1-pyrrolidinyl oxyradical, are preferred. Appropriate as the substituents are alkyl groups containing not more than 4 carbon atoms, such as methyl and ethyl groups. Specific nitroxy free radical compounds include, but are not limited to, the 2,2,6,6-tetramethyl-1-piperidinyl oxyradical (TEMPO), 2,2,6,6-tetraethyl-1-piperidinyl oxyradical, 2,2,6,6-tetramethyl-4-oxo-1-piperidinyl oxyradical, 2,2,5,5-tetramethyl-1-pyrrolidinyl oxyradical, 1,1,3,3-tetramethyl-2-isoindolinyl oxyradical and N,N-di-tert-butylamine oxyradical, among others. Such a stable free radical as the galvinoxyl free radical may also be used in lieu of the nitroxy

free radical.

The above radical capping agent is used in combination with a radical generator. It is presumable that the reaction product from a radical capping agent and a radical generator serves as a polymerization initiator so that the polymerization of an addition-polymerizable monomer(s) proceeds. The mixing ratio of both is not particularly restricted but, appropriately, the radical initiator is used in an amount of 0.1 to 10 moles per mole of the radical capping agent.

Although various compounds can be used as the radical generator, a peroxide capable of generating a radical under polymerization temperature conditions is preferred. Such peroxide includes, but is not limited to, diacyl peroxides such as benzoyl peroxide and lauroyl peroxide, dialkyl peroxides such as dicumyl peroxide and di-tert-butyl peroxide, peroxydicarbonates such as diisopropyl peroxydicarbonate and bis(4-tert-butylcyclohexyl) peroxydicarbonate, alkyl peresters such as tert-butyl peroxyoctoate and tert-butyl peroxybenzoate, and the like. In particular, benzoyl peroxide is preferred. Further, another radical generator, for example a radical-generating azo compound, such as azobisisobutyronitrile, may also be used in lieu of the peroxide.

As reported in Macromolecules, 1995, vol. 28, page 2993, such alkoxyamine compounds as shown below may be used as the initiator instead of the combined use of a radical capping agent and a radical generator.



The polymerization conditions such as the monomer(s) to be used in the polymerization using a radical capping agent e.g. a nitroxide compound as mentioned above, solvent and polymerization temperature are not restricted but may be the same as those used in atom transfer radical polymerization, which is to be mentioned below.

The technique of atom transfer radical polymerization,

which is more preferred as the technique of living radical polymerization, is now described.

In this atom transfer radical polymerization, an organic halide, in particular an organic halide having a highly reactive carbon-halogen bond (e.g. an ester compound having a halogen at the α position, or a compound having a halogen at the benzyl position), or a halosulfonyl compound is preferably used as the initiator. Use is made, as the catalyst, of metal complexes the central metal of which belongs to the group 7, 8, 9, 10 or 11 of the periodic table of the elements. Particularly preferred as the metal species are zero-valent or monovalent copper, divalent ruthenium and divalent iron. As specific examples, there may be mentioned cuprous chloride, cuprous bromide, cuprous iodide, cuprous cyanide, cuprous oxide, cuprous acetate, cuprous perchlorate and the like. When a copper compound is used, a ligand, for example 2,2'-bipyridyl or a derivative thereof, 1,10-phenanthroline or a derivative thereof, an alkylamine such as tributylamine or a polyamine such as tetramethylethylenediamine, pentamethyldiethylenetriamine or hexamethyltriethylenetetramine, is added for increasing the catalytic activity. The tris(triphenylphosphine) complex of divalent ruthenium chloride ($\text{RuCl}_2(\text{PPh}_3)_3$) is also suited for use as a catalyst. When this catalyst is used, an aluminum compound, such as a trialkoxyaluminum, is added for increasing the activity of the catalyst. Further, the tris(triphenylphosphine) complex of divalent iron chloride ($\text{FeCl}_2(\text{PPh}_3)_3$) is also suited as the catalyst.

In this polymerization method, an organic halide or halosulfonyl compound is used as the initiator. Specific examples are as follows:

$\text{C}_6\text{H}_5\text{-CH}_2\text{X}$, $\text{C}_6\text{H}_5\text{-C(H)(X)CH}_3$, $\text{C}_6\text{H}_5\text{-C(X)(CH}_3)_2$,
(in the above chemical formulas, C_6H_5 represents a phenyl group and X represents a chlorine, bromine or iodine atom):
 $\text{R}^4\text{-C(H)(X)-CO}_2\text{R}^5$, $\text{R}^4\text{-C(CH}_3\text{)(X)-CO}_2\text{R}^5$, $\text{R}^4\text{-C(H)(X)-C(O)R}^5$, $\text{R}^4\text{-C(CH}_3\text{)(X)-C(O)R}^5$,

$$R^4-C_6H_4-SO_2X,$$

- When an organic halide or halosulfonyl compound having a functional group in addition to a functional group (initiation site) for initiating the polymerization is used, a vinyl polymer having functional groups introduced therein at both termini can readily be obtained. As such functional group, there may be mentioned alkenyl, hydroxyl, epoxy, amino, amide, silyl and like groups.

- $$R^{11}R^{12}C(X)-R^{13}-R^{14}-C(R^6)=CH_2 \quad (1)$$

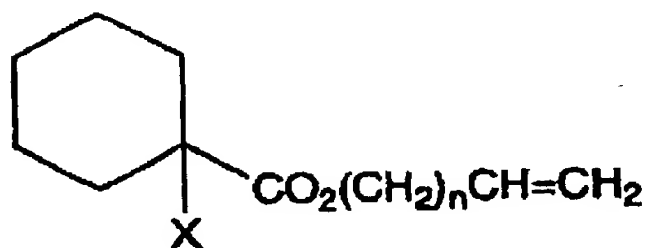
In these compounds, the halogen-bearing carbon atom is bonded to a carbonyl, phenyl or like group and the carbon-halogen bond is thus in an activated state, so that the polymerization can be initiated thereby.

As specific examples of R^{11} and R^{12} , there may be mentioned hydrogen, methyl, ethyl, n-propyl, isopropyl, n-butyl, pentyl, hexyl and the like. R^{11} and R^{12} may be bound together at the respective other ends to form a ring skeleton and, in such case, $-R^{11}-R^{12}-$ includes, among others, $-\text{CH}_2\text{CH}_2-$, $-\text{CH}_2\text{CH}_2\text{CH}_2-$,

$-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2-$, $-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2-$ and the like.

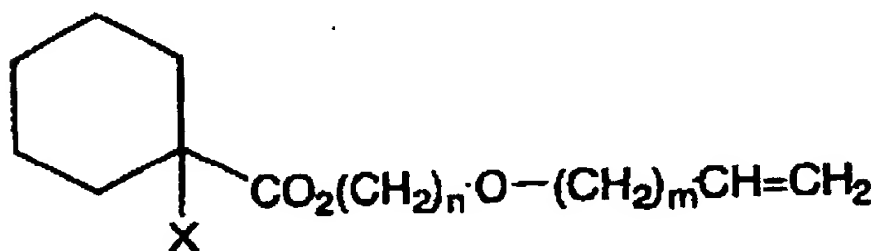
As specific examples of the alkenyl-containing organic halide represented by the general formula 1, there may be mentioned the following:

- 5 $\text{XCH}_2\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{CH}=\text{CH}_2$, $\text{H}_3\text{CC}(\text{H})(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{CH}=\text{CH}_2$,
 $(\text{H}_3\text{C})_2\text{C}(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{CH}=\text{CH}_2$, $\text{CH}_3\text{CH}_2\text{C}(\text{H})(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{CH}=\text{CH}_2$,



- (in each of the above formulas, X is a chlorine, bromine or iodine atom and n is an integer of 0 to 20);
- 10

$\text{XCH}_2\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2$, $\text{H}_3\text{CC}(\text{H})(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2$,
 $(\text{H}_3\text{C})_2\text{C}(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2$,
 $\text{CH}_3\text{CH}_2\text{C}(\text{H})(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{O}(\text{CH}_2)_m\text{CH}=\text{CH}_2$,



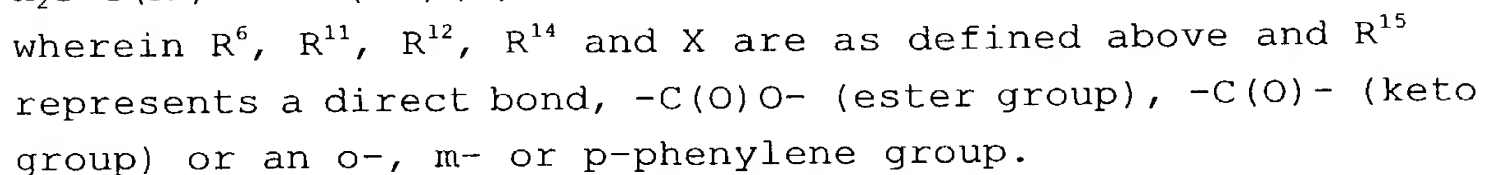
15

(in each of the above formulas, X is a chlorine, bromine or iodine atom, n is an integer of 1 to 20 and m is an integer of 0 to 20);

- o, m, p- $\text{XCH}_2-\text{C}_6\text{H}_4-(\text{CH}_2)_n-\text{CH}=\text{CH}_2$, o, m, p- $\text{CH}_3\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_4-(\text{CH}_2)_n-\text{CH}=\text{CH}_2$,
 20 (in each of the above formulas, X is a chlorine, bromine or iodine atom and n is an integer of 0 to 20);
 o, m, p- $\text{XCH}_2-\text{C}_6\text{H}_4-(\text{CH}_2)_n-\text{O}-(\text{CH}_2)_m-\text{CH}=\text{CH}_2$, o, m, p- $\text{CH}_3\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_4-(\text{CH}_2)_n-\text{O}-(\text{CH}_2)_m-\text{CH}=\text{CH}_2$, o, m, p- $\text{CH}_3\text{CH}_2\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_4-(\text{CH}_2)_n-\text{O}-(\text{CH}_2)_m-\text{CH}=\text{CH}_2$,
 25

$$\text{o, m, p-XCH}_2\text{-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-CH=CH}_2, \text{o, m, p-CH}_3\text{C(H)(X)-C}_6\text{H}_4\text{-O-} \\ \text{(CH}_2\text{)}_n\text{-CH=CH}_2, \text{o, m, p-CH}_3\text{CH}_2\text{C(H)(X)-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-CH=CH}_2,$$
$$\text{o, m, p-XCH}_2\text{-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{-CH=CH}_2, \text{ o, m, p-CH}_3\text{C(H)(X)-C}_6\text{H}_4\text{-O-(CH}_2\text{)}_n\text{-O-(CH}_2\text{)}_m\text{-CH=CH}_2, \text{ o, m, p-}$$

As the alkenyl-containing organic halide, there may further be mentioned compounds represented by the general formula 2:



As specific examples of the compound of general formula 2, there may be mentioned, among others, the following:

35 $\text{CH}_2=\text{CHCH}_2\text{X}$, $\text{CH}_2=\text{C}(\text{CH}_3)\text{CH}_2\text{X}$, $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{CH}_3$,
 $\text{CH}_2=\text{C}(\text{CH}_3)\text{C}(\text{H})(\text{X})\text{CH}_3$, $\text{CH}_2=\text{CHC}(\text{X})(\text{CH}_3)_2$, $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{C}_2\text{H}_5$,

$\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{CH}(\text{CH}_3)_2$, $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{C}_6\text{H}_5$, $\text{CH}_2=\text{CHC}(\text{H})(\text{X})\text{CH}_2\text{C}_6\text{H}_5$,
 $\text{CH}_2=\text{CHCH}_2\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$, $\text{CH}_2=\text{CH}(\text{CH}_2)_2\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$,
 $\text{CH}_2=\text{CH}(\text{CH}_2)_3\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$, $\text{CH}_2=\text{CH}(\text{CH}_2)_8\text{C}(\text{H})(\text{X})-\text{CO}_2\text{R}$,
 $\text{CH}_2=\text{CHCH}_2\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$, $\text{CH}_2=\text{CH}(\text{CH}_2)_2\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,

5 $\text{CH}_2=\text{CH}(\text{CH}_2)_3\text{C}(\text{H})(\text{X})-\text{C}_6\text{H}_5$,

(in each of the above formulas, X is a chlorine, bromine or iodine atom and R is a C_{1-20} alkyl, aryl or aralkyl group).

As specific examples of the alkenyl-containing halosulfonyl compound, there may be mentioned, among others,
 10 the following:

o-, m-, p- $\text{CH}_2=\text{CH}-(\text{CH}_2)_n-\text{C}_6\text{H}_4-\text{SO}_2\text{X}$,

o-, m-, p- $\text{CH}_2=\text{CH}-(\text{CH}_2)_n-\text{O}-\text{C}_6\text{H}_4-\text{SO}_2\text{X}$,

(in each of the above formulas, X is a chlorine, bromine or iodine atom and n is an integer of 0 to 20).

15 As regards the alkenyl-containing initiator, it is possible for the alkenyl group of that initiator as well to react with the polymer terminus. Therefore, care should be taken in selecting the polymerization reactions and the reaction conditions for the olefin compound to be added. As a specific
 20 example, there may be mentioned the addition of the olefin compound at an early stage of polymerization.

The crosslinking silyl-containing organic halide is not particularly restricted but includes, among others, compounds having a structure represented by the general formula 3:

25 $\text{R}^{11}\text{R}^{12}\text{C}(\text{X})-\text{R}^{13}-\text{R}^{14}-\text{C}(\text{H})(\text{R}^6)\text{CH}_2-[\text{Si}(\text{R}^{16})_{2-b}(\text{Y})_b\text{O}]_m-\text{Si}(\text{R}^{17})_{3-a}(\text{Y})_a$
 (3)

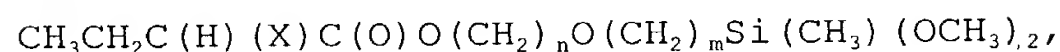
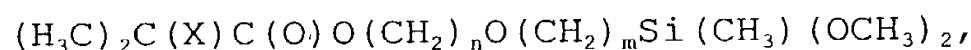
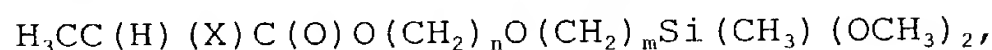
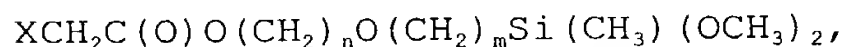
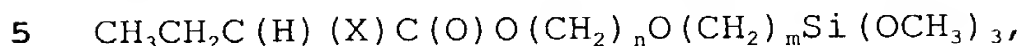
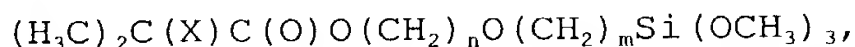
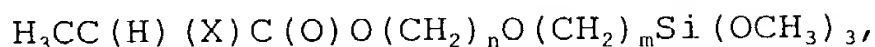
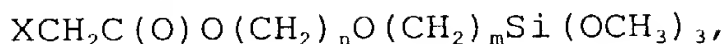
wherein R^6 , R^{11} , R^{12} , R^{13} , R^{14} , R^{15} , R^{16} , R^{17} , a, b, m, X and Y are as defined above.

As specific examples of the compound of general formula
 30 3, there may be mentioned, among others, the following:

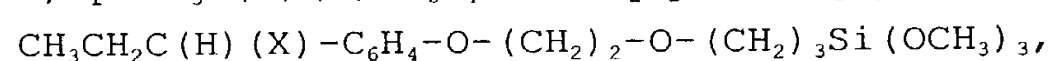
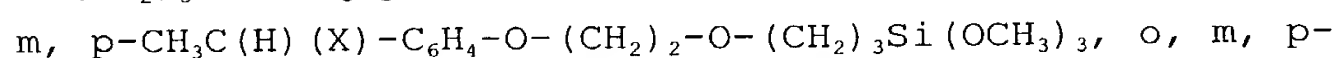
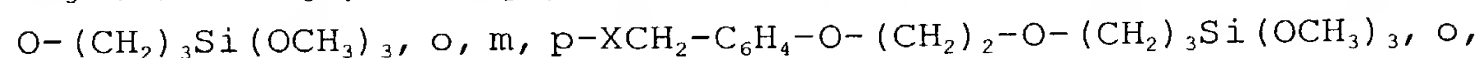
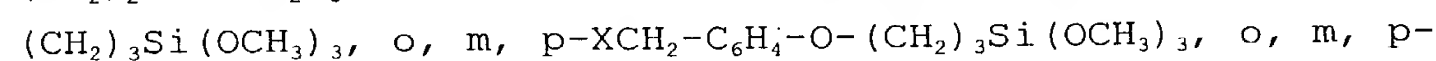
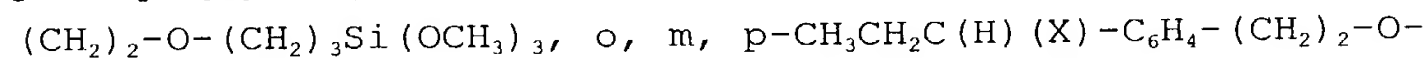
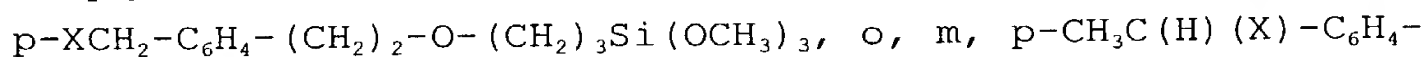
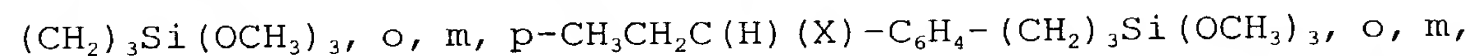
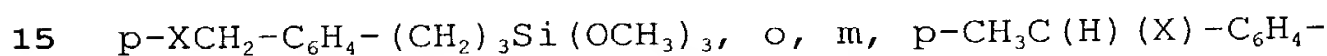
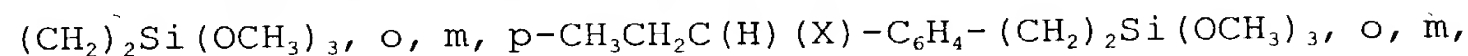
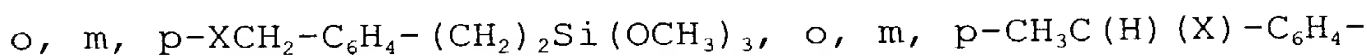
$\text{XCH}_2\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{Si}(\text{OCH}_3)_3$, $\text{CH}_3\text{C}(\text{H})(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{Si}(\text{OCH}_3)_3$,
 $(\text{CH}_3)_2\text{C}(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{Si}(\text{OCH}_3)_3$, $\text{XCH}_2\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{Si}(\text{CH}_3)(\text{OCH}_3)_2$,
 $\text{CH}_3\text{C}(\text{H})(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{Si}(\text{CH}_3)(\text{OCH}_3)_2$,
 $(\text{CH}_3)_2\text{C}(\text{X})\text{C}(\text{O})\text{O}(\text{CH}_2)_n\text{Si}(\text{CH}_3)(\text{OCH}_3)_2$,

35 (in each of the above formulas, X is a chlorine, bromine or iodine

atom and n is an integer of 0 to 20);

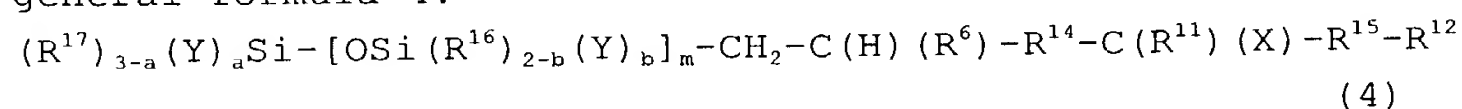


10 (in each of the above formulas, X is a chlorine, bromine or iodine atom, n is an integer of 1 to 20 and m is an integer of 0 to 20);



25 (in each of the above formulas, X is a chlorine, bromine or iodine atom).

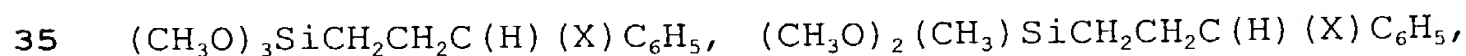
The crosslinking silyl-containing organic halide further includes compounds having a structure represented by the general formula 4:

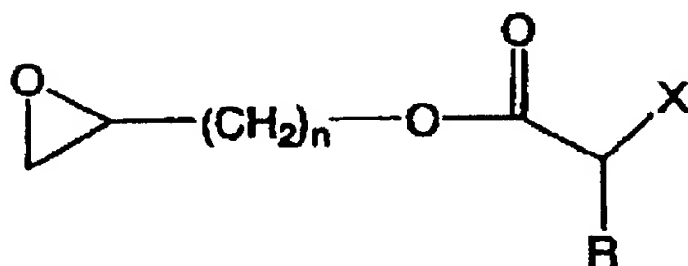


30

wherein $\text{R}^6, \text{R}^{11}, \text{R}^{12}, \text{R}^{13}, \text{R}^{14}, \text{R}^{15}, \text{R}^{16}, \text{R}^{17}, a, b, m, X$ and Y are as defined above.

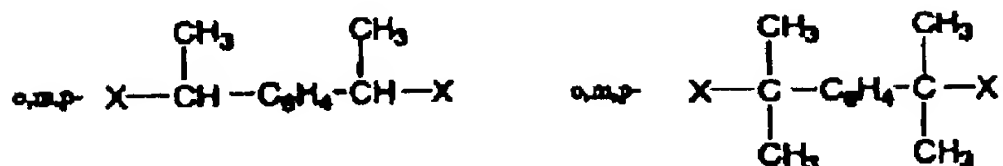
As specific examples of such compound, there may be mentioned, among others, the following:



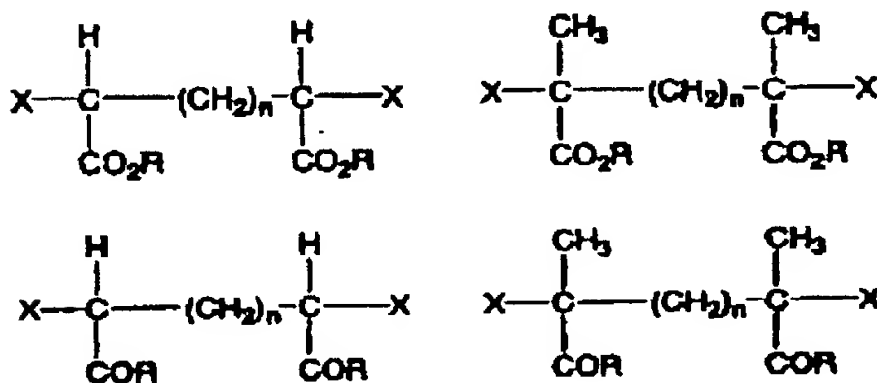


(in the above formula, X is a chlorine, bromine or iodine atom, R is a hydrogen atom or a C₁₋₂₀ alkyl, aryl or aralkyl group and
30 n is an integer of 1 to 20).

For obtaining a vinyl polymer having two or more functional groups per molecule, an organic halide or halosulfonyl compound having two or more initiation sites is used as the initiator. As specific examples, there may be mentioned the following:

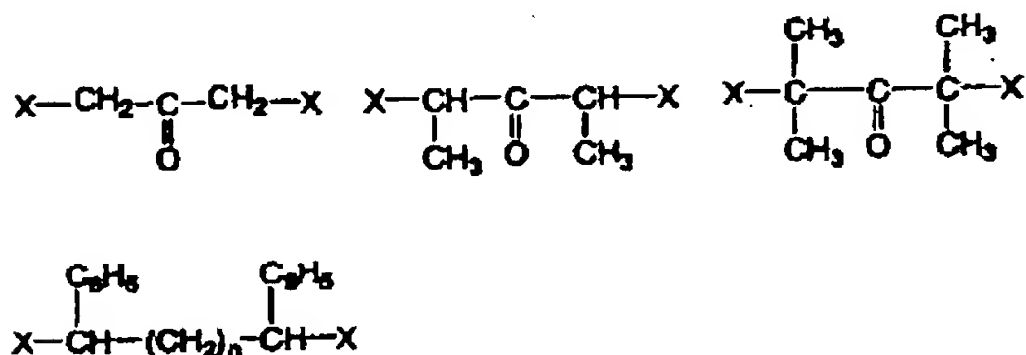


(wherein C_6H_4 represents a phenylene group and X is a chlorine, bromine or iodine atom);

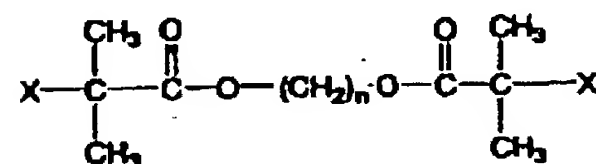
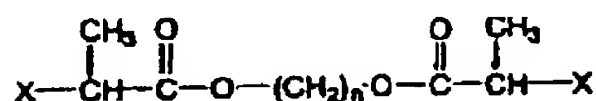
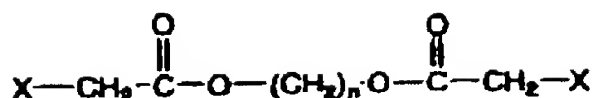


10

(wherein R is a C_{1-20} alkyl, aryl or aralkyl group, n is an integer of 0 to 20 and X is a chlorine, bromine or iodine atom);

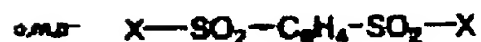
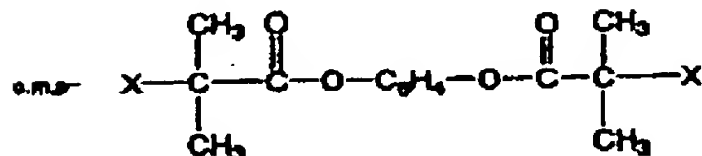
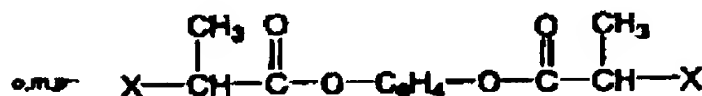
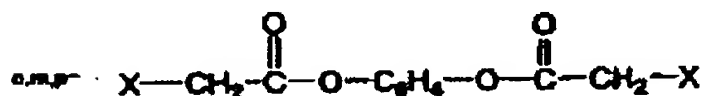
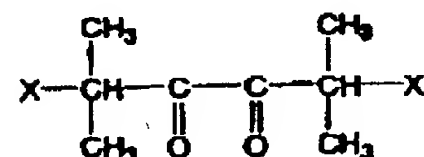
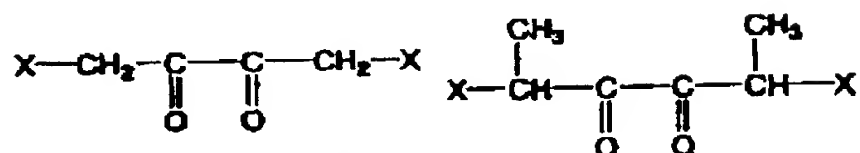


15 (wherein X is a chlorine, bromine or iodine atom and n is an integer of 0 to 20);



(wherein n is an integer of 0 to 20 and X is a chlorine, bromine or iodine atom);

5



(wherein X is a chlorine, bromine or iodine atom).

The radical-polymerizable vinyl monomer to be used in this polymerization is not particularly restricted but includes various species. Since the polymerization system indicated
10 herein is a living polymerization system, it is also possible to produce block copolymers by successively adding

polymerizable monomers. As examples, there may be mentioned (meth)acrylic acid, methyl (meth)acrylate, ethyl (meth)acrylate, n-propyl (meth)acrylate, isopropyl (meth)acrylate, n-butyl (meth)acrylate, isobutyl (meth)acrylate, tert-butyl (meth)acrylate, n-pentyl (meth)acrylate, n-hexyl (meth)acrylate, cyclohexyl (meth)acrylate, n-heptyl (meth)acrylate, n-octyl (meth)acrylate, 2-ethylhexyl (meth)acrylate, nonyl (meth)acrylate, decyl (meth)acrylate, dodecyl (meth)acrylate, phenyl (meth)acrylate, toluyl (meth)acrylate, benzyl (meth)acrylate, 2-methoxyethyl (meth)acrylate, 3-methoxybutyl (meth)acrylate, 2-hydroxyethyl (meth)acrylate, 2-hydroxypropyl (meth)acrylate, stearyl (meth)acrylate, glycidyl (meth)acrylate, 2-aminoethyl (meth)acrylate, γ -(methacryloyloxy)propyltrimethoxysilane, (meth)acrylic acid-ethylene oxide adducts, trifluoromethylmethyl (meth)acrylate, 2-trifluoromethylethyl (meth)acrylate, 2-perfluoroethylethyl (meth)acrylate, 2-perfluoroethyl-2-perfluorobutylethyl (meth)acrylate, 2-perfluoroethyl (meth)acrylate, perfluoromethyl (meth)acrylate, diperfluoromethylmethyl (meth)acrylate, 2-perfluoromethyl-2-perfluoroethylmethyl (meth)acrylate, 2-perfluorohexylethyl (meth)acrylate, 2-perfluorodecylethyl (meth)acrylate, 2-perfluorohexadecylethyl (meth)acrylate and like (meth)acrylic monomers; styrene, vinyltoluene, α -methylstyrene, chlorostyrene, styrenesulfonic acid and salts thereof, and like styrenic monomers; perfluoroethylene, perfluoropropylene, vinylidene fluoride and like fluorine-containing vinyl monomers; vinyltrimethoxysilane, vinyltriethoxysilane and like silicon-containing vinyl monomers; maleic anhydride, maleic acid, maleic acid monoalkyl esters and dialkyl esters; fumaric acid, fumaric acid monoalkyl esters and dialkyl esters; maleimide, methylmaleimide, ethylmaleimide, propylmaleimide, butylmaleimide, hexylmaleimide, octylmaleimide, dodecylmaleimide, stearylmaleimide, phenylmaleimide,

cylcohexylmaleimide and like maleimide monomers; acrylonitrile, methacrylonitrile and like nitrile group-containing vinyl monomers; acrylamide, methacrylamide and like amide group-containing vinyl monomers; vinyl acetate, vinyl propionate, vinyl pivalate, vinyl benzoate, vinyl cinnamate and like vinyl esters; ethylene, propylene and like alkenes; vinyl chloride, vinylidene chloride, allyl chloride and allyl alcohol, among others. These may be used singly or a plurality of such monomers may be copolymerized. Among them, styrenic monomers and (meth)acrylic monomers are preferred from the viewpoint of physical properties of products, among others. Acrylic ester monomers are more preferred from the viewpoint of high reactivity in functional group introduction reactions and/or low glass transition point, for instance, and butyl acrylate is particularly preferred.

The polymerization can be carried without using any solvent or in various solvents. These are not particularly restricted but include, among others, hydrocarbon solvents such as benzene and toluene; ether solvents such as diethyl ether and tetrahydrofuran; halogenated hydrocarbon solvents such as methylene chloride and chloroform; ketone solvents such as acetone, methyl ethyl ketone and methyl isobutyl ketone; alcohol solvents such as methanol, ethanol, propanol, isopropanol, n-butyl alcohol and tert-butyl alcohol; nitrile solvents such as acetonitrile, propionitrile and benzonitrile; ester solvents such as ethyl acetate and butyl acetate; and carbonate solvents such as ethylene carbonate and propylene carbonate. These may be used singly or two or more of them may be used in admixture.

30 The polymerization can be carried out within the range
of room temperature to 200 °C, preferably 50 to 150 °C.

When the compound (I), which has a functional group and an internal alkenyl group, is added during or at the end point of such polymerization, approximately one molecule of the compound adds to each terminus via the alkenyl group and, as

The amount of the compound (I) to be added is not particularly restricted. Since the reactivity of the alkenyl group of such compound is not very high, it is preferred to increase the addition amount thereof for increasing the rate of reaction. On the other hand, for cost reduction purposes, that amount is desirably as close as possible to the equimolar amount relative to the growing terminus. It is thus necessary to optimize that amount according to circumstances.

The first aspect of the invention is also directed to a vinyl polymer having a functional group at a molecular chain terminus which is obtainable by the production method mentioned
30 above.

The terminal functional group referred to above is one introduced by addition of an alkenyl group to a growing terminus in living radical polymerization of a vinyl polymer. Therefore, 35 the above-mentioned vinyl polymer having a functional group at

a molecular chain terminus is characterized in that the molecular chain contains no interposing hetero atom and approximately one functional group is bonded to one terminus of the polymer by means of direct carbon-carbon bonding alone.

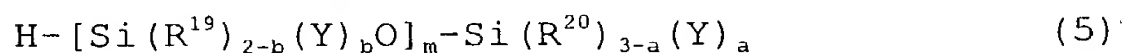
5 The number of terminal groups contained in a molecule of the polymer is not particularly restricted but, when the polymer is used in a curable composition, for instance, two or more are preferably contained therein.

10 The polymer of the invention has a molecular weight distribution, namely weight average molecular weight (Mw)-to-number average molecular weight (Mn) ratio (Mw/Mn) as determined by gel permeation chromatography, of preferably not more than 1.8, more preferably not more than 1.6, most preferably not more than 1.3.

15 The polymer of the invention preferably has a number average molecular weight within the range of 500 to 100,000, more preferably 3,000 to 40,000. When the molecular weight is less than 500, the vinyl polymer will hardly manifest those characteristics which are intrinsic in vinyl polymers. When
20 it is higher than 100,000, handling becomes difficult.

 The polymer produced in accordance with the present invention is utilized as such, namely the functional group introduced is utilized as such, or after conversion of that group to some other functional group by conducting a further
25 conversion reaction. More specifically, an alkenyl group can be converted to a crosslinking silyl group by the hydrosilylation reaction using a crosslinking silyl-containing hydrosilane compound. Suited for use as the alkenyl-terminated vinyl polymer are all of those obtainable
30 by the method already illustrated hereinabove.

 The hydrosilane compound is not particularly restricted but, as typical example, there may be mentioned compounds represented by the general formula 5:



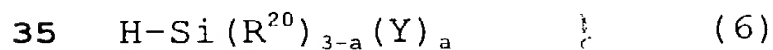
35 wherein R^{19} and R^{20} each is an alkyl group containing 1 to 20

carbon atoms, an aryl group containing 6 to 20 carbon atoms, an aralkyl group containing 7 to 20 carbon atoms or a triorganosiloxy group represented by $(R')_3SiO-$ (in which R' is a hydrocarbon group containing 1 to 20 carbon atoms and the three
 5 R' groups may be the same or different) and, when two or more R^{19} or R^{20} exist, they may be the same or different; Y represents a hydroxyl group or a hydrolyzable group and, when two or more Y groups exist, they may be the same or different; a represents 0, 1, 2 or 3, b represents 0, 1 or 2 and m is an integer of 0
 10 to 19 provided that the relation $a + mb \geq 1$ is to be satisfied.

The above hydrolyzable group represented by Y is not particularly restricted but any of those known in the art may be used. Specifically, there may be mentioned hydrogen, halogen atoms, and alkoxy, acyloxy, ketoximato, amino, amido,
 15 acid amide, aminoxy, mercapto, alkenyloxy and like groups. From the mild hydrolyzability and easy handling viewpoint, alkoxy groups are particularly preferred. One to three groups selected from among the hydrolyzable and hydroxyl groups can be bonded to a silicon atom and the sum $a + mb$, namely the total
 20 number of hydrolyzable groups, is preferably within the range of 1 to 5. When the reactive silyl group contains two or more hydrolyzable and/or hydroxyl groups bonded thereto, these may be the same or different. The number of silicon atoms constituting the crosslinking silicon compound may be one or
 25 two or more. In the case of silicon atoms linked by siloxane bonding, the number may be up to about 20.

As specific examples of R^{19} and R^{20} , there may be mentioned, among others, alkyl groups such as methyl and ethyl, cycloalkyl groups such as cyclohexyl, aryl groups such as phenyl, aralkyl
 30 groups such as benzyl, and triorganosilyl groups represented by $(R')_3SiO-$ in which R' is methyl or phenyl, for instance.

Among such hydrosilane compounds, crosslinking group-containing hydrosilane compounds represented by the general formula 6:



wherein R^{20} , Y and a are as defined above,
are preferred from the ready availability viewpoint. As
specific examples of the crosslinking group-containing
hydrosilane compound represented by the general formula 5 or
5 6, there may be mentioned, among others:

HSiCl₃, HSi(CH₃)Cl₂, HSi(CH₃)₂Cl, HSi(OCH₃)₃, HSi(CH₃)(OCH₃)₂,
HSi(CH₃)₂OCH₃, HSi(OC₂H₅)₃, HSi(CH₃)(OC₂H₅)₂, HSi(CH₃)₂OC₂H₅,
HSi(OC₃H₇)₃, HSi(C₂H₅)(OCH₃)₂, HSi(C₂H₅)₂OCH₃, HSi(C₆H₅)(OCH₃)₂,
HSi(C₆H₅)₂(OCH₃), HSi(CH₃)(OC(O)CH₃)₂, HSi(CH₃)₂O-[Si(CH₃)₂O]₂-
10 Si(CH₃)(OCH₃)₂, HSi(CH₃)[O-N=C(CH₃)₂]₂
(in the above chemical formulas, C₆H₅ represents a phenyl group).

In causing such a crosslinking silyl-containing
hydrosilane compound to add to an alkenyl-terminated vinyl
polymer, a hydrosilylation catalyst is used. As such
15 hydrosilylation catalyst, there may be mentioned radical
initiators such as organic peroxides and azo compounds as well
as transition metal catalysts.

The radical initiator is not particularly restricted but
may be any of various compounds. As examples, there may be
20 mentioned dialkyl peroxides such as di-tert-butyl peroxide,
2,5-dimethyl-2,5-di(tert-butylperoxy)hexane, 2,5-dimethyl-
2,5-di(tert-butylperoxy)-3-hexyne, dicumyl peroxide, tert-
butyl cumyl peroxide and α,α' -bis(tert-
butylperoxy)isopropylbenzene, diacyl peroxides such as
25 benzoyl peroxide, p-chlorobenzoyl peroxide, m-chlorobenzoyl
peroxide, 2,4-dichlorobenzoyl peroxide and lauroyl peroxide,
peresters such as tert-butyl perbenzoate, peroxydicarbonates
such as diisopropyl peroxydicarbonate and di-2-ethylhexyl
peroxydicarbonate, and peroxyketals such as 1,1-di(tert-
30 butylperoxy)cyclohexane and 1,1-di(tert-butylperoxy)-3,3,5-
trimethylcyclohexane, among others.

As the transition metal catalyst, there may be mentioned,
for example, simple substance platinum, solid platinum
dispersed on a support such as alumina, silica or carbon black,
35 chloroplatinic acid, complexes of chloroplatinic acid with

35 $(\text{CH}_3\text{O})_3\text{Si}-(\text{CH}_2)_n-\text{NCO}$, $(\text{CH}_3\text{O})_2(\text{CH}_3)\text{Si}-(\text{CH}_2)_n-\text{NCO}$, $(\text{C}_2\text{H}_5\text{O})_3\text{Si}-(\text{CH}_2)_n-\text{NCO}$, $(\text{C}_2\text{H}_5\text{O})_2(\text{CH}_3)\text{Si}-(\text{CH}_2)_n-\text{NCO}$, $(i\text{-C}_3\text{H}_7\text{O})_3\text{Si}-(\text{CH}_2)_n-\text{NCO}$,

(i-C₃H₇O)₂(CH₃)Si-(CH₂)_n-NCO, (CH₃O)₃Si-(CH₂)_n-NH-(CH₂)_m-NCO,
 (CH₃O)₂(CH₃)Si-(CH₂)_n-NH-(CH₂)_m-NCO, (C₂H₅O)₃Si-(CH₂)_n-NH-
 (CH₂)_m-NCO, (C₂H₅O)₂(CH₃)Si-(CH₂)_n-NH-(CH₂)_m-NCO, (i-C₃H₇O)₃Si-
 (CH₂)_n-NH-(CH₂)_m-NCO, (i-C₃H₇O)₂(CH₃)Si-(CH₂)_n-NH-(CH₂)_m-NCO,
 5 (in the above formulas, n and m each is an integer of 1 to 20).

The reaction between the hydroxyl-terminated vinyl
 polymer and the crosslinking silyl-containing isocyanate
 compound can be carried out without using any solvent or in any
 of various solvents at a reaction temperature of 0 °C to 100 °C,
 10 preferably 20 °C to 50 °C. On that occasion, any of the tin
 catalysts or tertiary amine catalysts already mentioned
 hereinbefore can be used for promoting the reaction between the
 hydroxyl and isocyanate groups.

Furthermore, the first aspect of the invention is
 15 directed to a curable composition which comprises the above
 vinyl polymer having a functional group at a molecular chain
 terminus and in which one of various crosslinking reactions is
 utilized.

The alkenyl-terminated polymer can be used in formulating
 20 a curable composition comprising (A) the alkenyl-containing
 polymer and (B) a compound having at least two hydrosilyl
 groups.

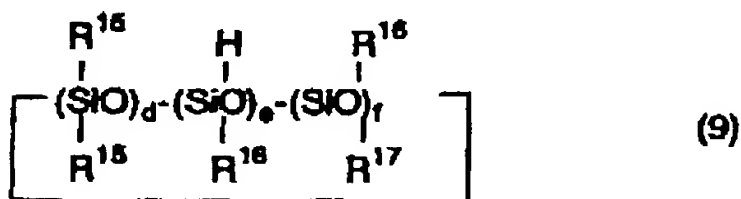
The component (A), alkenyl-terminated vinyl polymer may
 be used singly or a mixture of two or more thereof may be used.
 25 The molecular weight of the component (A) is not particularly
 restricted but preferably within the range of 500 to 100,000,
 more preferably 3,000 to 40,000. When it is less than 500, those
 characteristics which are intrinsic in vinyl polymers will be
 hardly manifested and, when it is above 100,000, a very high
 30 viscosity or a decreased solubility will result, rendering the
 handling difficult.

The component (B), namely hydrosilyl-containing compound,
 is not particularly restricted but may be any of various ones.
 Thus, use may be made of linear polysiloxanes represented by
 35 the general formula 7 or 8:

$$\text{R}^{16}_3\text{SiO}-[\text{Si}(\text{R}^{15})_2\text{O}]_a-[\text{Si}(\text{H})(\text{R}^{16})\text{O}]_b-[\text{Si}(\text{R}^{16})(\text{R}^{17})\text{O}]_c-\text{SiR}^{15}_3 \quad (7)$$

$$\text{HR}^{15}_2\text{SiO}-[\text{Si}(\text{R}^{15})_2\text{O}]_a-[\text{Si}(\text{H})(\text{R}^{16})\text{O}]_b-[\text{Si}(\text{R}^{16})(\text{R}^{17})\text{O}]_c-\text{SiR}^{15}_2\text{H} \quad (8)$$

- 5 wherein R¹⁵ and R¹⁶ each represents an alkyl group containing
1 to 6 carbon atoms or a phenyl group, R¹⁷ represents an alkyl
group containing 1 to 10 carbon atoms or an aralkyl group
containing 7 to 10 carbon atoms, a represents an integer 0 ≤
a ≤ 100, b an integer 2 ≤ b ≤ 100 and c an integer 0 ≤ c ≤ 100);
10 and cyclic siloxanes represented by the general formula 9:



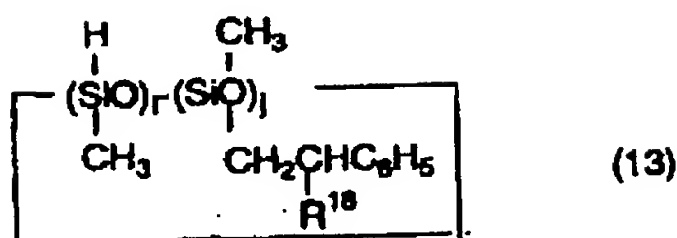
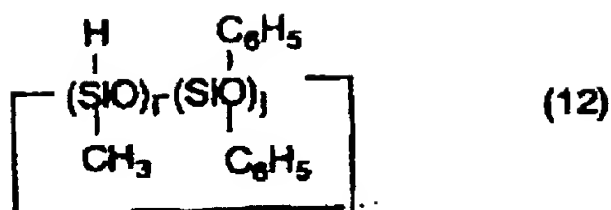
- wherein R^{15} and R^{16} each represents an alkyl group containing 1 to 6 carbon atoms or a phenyl group, R^{17} represents an alkyl group containing 1 to 10 carbon atoms or an aralkyl group containing 7 to 10 carbon atoms, d represents an integer $0 \leq d \leq 8$, e an integer $2 \leq e \leq 10$ and f an integer $0 \leq f \leq 8$ on condition that $3 \leq d + e + f \leq 10$.

These may be used singly or two or more of them may be used in admixture. Among these siloxanes, those phenyl-containing linear siloxanes represented by the general formula 10 or 11 given below and phenyl-containing cyclic siloxanes represented by the general formula 12 or 13 given below are preferred in view of the compatibility with the vinyl polymers.

$$25 \quad (\text{CH}_3)_3\text{SiO}-[\text{Si}(\text{H})(\text{CH}_3)\text{O}]_g-[\text{Si}(\text{C}_6\text{H}_5)_2\text{O}]_h-\text{Si}(\text{CH}_3)_3 \quad (10)$$

$$(\text{CH}_3)_3\text{SiO}-[\text{Si}(\text{H})(\text{CH}_3)\text{O}]_g-[\text{Si}(\text{CH}_3)\{\text{CH}_2\text{C}(\text{H})(\text{R}^{18})\text{C}_6\text{H}_5\}\text{O}]_h-\text{Si}(\text{CH}_3)_3 \quad (11)$$

- (in the formulas, R^{18} represents a hydrogen atom or a methyl group, g represents an integer $2 \leq g \leq 100$ and h an integer $0 \leq h \leq 100$ and C_6H_5 represents a phenyl group);

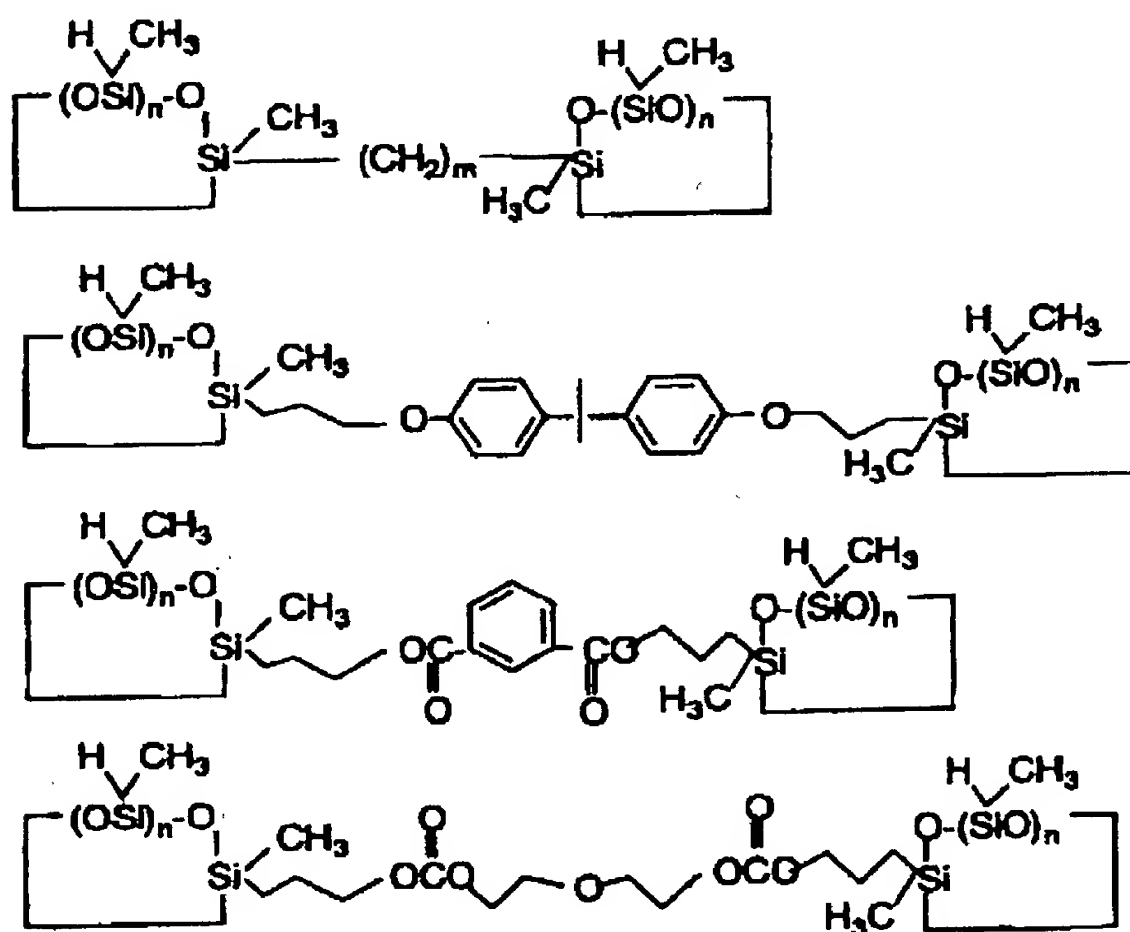


(in the formulas, R^{18} represents a hydrogen atom or a methyl group, i represents an integer $2 \leq i \leq 10$ and j an integer $0 \leq j \leq 8$ on condition that $3 \leq i + j \leq 10$ and C_6H_5 represents a phenyl group).

Also useful as the component (B), namely curing agent having at least two hydrosilyl groups, are compounds obtainable by subjecting a hydrosilyl-containing compound represented by one of the general formulas 7 to 13 to addition reaction with a low-molecular compound having two alkenyl groups per molecule so that the hydrosilyl-containing group may partly remain even after the reaction. Useful as the compound having two or more alkenyl groups per molecule are various compounds. As examples, there may be mentioned hydrocarbon compounds such as 1,4-pentadiene, 1,5-hexadiene, 1,6-heptadiene, 1,7-octadiene, 1,8-nonadiene and 1,9-decadiene, ether compounds such as O,O'-diallylbisphenol A and 3,3'-diallylbisphenol A, ester compounds such as diallyl phthalate, diallyl isophthalate, triallyl trimellitate and tetraallyl pyromellitate, and carbonate compounds such as diethylene glycol diallyl carbonate.

The above compounds can be obtained by slowly adding

dropwise an alkenyl-containing compound as mentioned above to an excessive amount of a hydrosilyl-containing compound in the presence of a hydrosilylation catalyst. Preferred among such compounds in view of ready availability of starting materials, ease of removal of the siloxane used in excess and, further, compatibility with the component (A) polymer are the following:



(n being an integer of 2 to 4 and m being an integer of 5 to 10).

The polymer (A) and curing agent (B) can be blended in an arbitrary ratio. From the curability viewpoint, however, the alkenyl-to-hydrosilyl mole ratio is preferably within the range of 5 to 0.2, more preferably 2.5 to 0.4. When the mole ratio is not less than 5, the curing becomes insufficient, hence only sticky cured products low in strength are obtained. When it is less than 0.2, a large amount of the active hydrosilyl group remains in the cured products even after curing, causing

formation of cracks and voids; any uniform and strong cured products cannot be obtained.

The curing reaction between the polymer (A) and curing agent (B) proceeds upon blending the two components and heating.

- 5 For allowing the reaction to proceed more rapidly, a hydrosilylation catalyst is added. As such hydrosilylation catalyst, any of those various ones already mentioned hereinabove may be used.

- 10 The vinyl polymer having a crosslinking silyl group at a molecular chain terminus can be used in a curable composition which comprises the polymer as the main component.

- 15 Upon coming into contact with water or moisture, the vinyl polymer having a crosslinking silyl group at a molecular chain terminus is cured in a three-dimensional manner by the curing reaction. Since the rate of hydrolysis depends on the temperature, humidity and hydrolyzable group species, an appropriate hydrolyzable group should be selected according to the use conditions.

- 20 For promoting the curing reaction, a condensation catalyst may be added. As the condensation catalyst, use may be made of one or two of known silanol condensation catalysts, as necessary, for example titanate esters such as tetrabutyl titanate and tetrapropyl titanate; organotin compounds such as dibutyltin dilaurate, dibutyltin maleate, dibutyltin diacetate, stannous octoate and stannous naphtheneate; lead
25 octylate; amine compounds such as butylamine, octylamine, dibutylamine, monoethanolamine, diethanolamine, triethanolamine, diethylenetriamine, triethylenetetramine, oleylamine, octylamine, cyclohexylamine, benzylamine,
30 diethylaminopropylamine, xylylenediamine, triethylenediamine, guanidine, diphenylguanidine, 2,4,6-tris(dimethylamino-methyl)phenol, morpholine, N-methylmorpholine and 1,3-diazabicyclo[5.4.6]undecene-7, or salts of these amine compounds with carboxylic acids; low-molecular polyamide
35 resins obtained from an excess polyamine and a polybasic acid;

reaction products from an excess polyamine and an epoxy compound; amino-containing silane coupling agents such as γ -aminopropyltrimethoxysilane and N-(β -aminoethyl)aminopropylmethyldimethoxysilane; and the like.

5 They are preferably used in an amount of 0.01 to 10% by weight relative to the crosslinking silyl-terminated vinyl polymer. When an alkoxy group is used as the hydrolyzable silyl group Y, this polymer, if used alone, shows a slow rate of curing, hence the use of a curing catalyst is preferred.

10 When the crosslinking silyl-terminated vinyl polymer, which is the main component, is admixed with a condensation catalyst as necessary and allowed to be cured, uniform cured products can be obtained. While the curing conditions are not particularly restricted, the curing reaction is generally
15 effected at 0 to 100 °C, preferably 10 to 50 °C, for about 1 hour to 1 week. Although the properties of the cured products depend on the main chain skeleton and molecular weight of the polymer, a wide varieties of products, from rubber-like ones to resin-like ones, can be produced.

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30 Furthermore, the vinyl polymer having a hydroxyl group at a molecular chain terminus can be used in a curable composition which comprises that polymer as the main component. This curable composition comprises, as essential components thereof, the following two components: (A) the hydroxyl-
35 terminated vinyl polymer and (B) a compound having two or more

The hydroxyl-terminated vinyl polymer, namely component (A), may be used singly or two or more thereof may be used in mixture. The molecular weight thereof is not particularly restricted but preferably is within the range of 500 to 100,000. When it is less than 500, those characteristics which are intrinsic in vinyl polymers will be hardly manifested and, when it is above 100,000, a very high viscosity or a decreased solubility will result, rendering the handling difficult in certain instances.

The compound having two or more functional groups capable of reacting with a hydroxyl group of component (B) is not particularly restricted but includes, among others, polyisocyanate compounds having two or more isocyanato groups per molecule, aminoplast resins such as methylolmelamines and alkyl-etherified derivatives thereof or low condensation products therefrom, polyfunctional carboxylic acids and halides thereof.

Useful as the polyisocyanates having two or more isocyanato groups per molecule are those known in the art, for example isocyanate compounds such as 2,4-tolylene diisocyanate, 2,6-tolylene diisocyanate, 4,4'-diphenylmethanediisocyanate, hexamethylene diisocyanate, xylylene diisocyanate, metaxylylene diisocyanate, 1,5-naphthalenediisocyanate, hydrogenated diphenylmethanediisocyanate, hydrogenated tolylene diisocyanate, hydrogenated xylylene diisocyanate, isophoronediisocyanate, Ipposha Yushi's B-45 and like triisocyanates, biuret polyisocyanate compounds such as Sumidur N (product of Sumitomo Bayer Urethane), isocyanurate ring-containing polyisocyanates such as Desmodur IL and HL (products of Bayer A.-G.) and Coronate EH (product of Nippon Polyurethane Industry), adduct polyisocyanate compounds such as Sumidur L (product of Sumitomo Bayer Urethane), and adduct polyisocyanate compounds such as Coronate HL (product of Nippon

The mixing ratio between the hydroxyl-terminated polymer and the compound having two or more isocyanato groups is not particularly restricted but, for example, the ratio between the isocyanato groups and the hydroxyl groups of the hydroxyl-terminated vinyl polymer (NCO/OH (mole ratio)) is preferably 0.5 to 3.0, more preferably 0.8 to 2.0.

As specific examples of the organotin compound, there may be mentioned stannous octoate, dibutyltin diacetate, dibutyltin dilaurate, dibutyltin mercaptides, dibutyltin thiocarboxylates, dibutyltin dimaleates, dioctyltin thiocarboxylates and the like. As the tertiary amine catalyst, there may be mentioned triethylamine, N,N-dimethylcyclohexylamine, N,N,N',N'-tetramethylethylenediamine, N,N,N',N'-tetramethylpropane-1,3-diamine, N,N,N',N'-tetramethylhexane-1,6-diamine, N,N,N',N'',N''-pentamethyldiethylenetriamine, N,N,N',N'',N''-pentamethyldipropylenetriamine, tetramethylguanidine, triethylenediamine, N,N'-dimethylpiperazine, N-methylmorpholine, 1,2-dimethylimidazole, dimethylaminoethanol, dimethylaminoethoxyethanol, N,N,N'-trimethylaminoethylethanolamine, N-methyl-N'-(2-hydroxyethyl)piperazine, N-(2-hydroxyethyl)morpholine, bis(2-dimethylaminoethyl) ether, ethylene glycol bis(3-dimethylaminopropyl) ether and the like.

The aminoplast resin to be used in the curable composition of the invention is not particularly restricted but includes addition products from melamine and formaldehyde (methylol compounds), low condensates from melamine and formaldehyde, and

alkyl-etherified modifications thereof, as well as urea resins and the like. These may be used singly or two or more of them may be used in combination. For promoting the curing reaction between the hydroxyl-terminated (meth)acrylic polymer and the aminoplast resin, a known catalyst such as p-toluenesulfonic acid or benzenesulfonic acid may be used.

The compound having two or more carboxyl groups per molecule, which is to be used in the curable composition of the invention is not particularly restricted but includes, among others, polyfunctional carboxylic acids and anhydrides thereof, such as oxalic acid, malonic acid, succinic acid, glutaric acid, adipic acid, phthalic acid, phthalic anhydride, terephthalic acid, trimellitic acid, pyromellitic acid, maleic acid, maleic anhydride, fumaric acid and itaconic acid as well as halides of these. These may be used singly or two or more of them may be used in combination.

By mixing up the two components (A) and (B) according to the invention, if necessary together with a curing catalyst, it is possible to obtain uniform cured products excellent in degree of depth curing. Although the curing conditions are not particularly restricted, the curing is generally carried out at 0 °C to 100 °C, preferably 20 °C to 80 °C.

While the properties of the cured product depend on the main chain skeleton and molecular weight of the (A) component polymer and (B) component curing agent, a wide variety of products can be produced, from rubber-like to resin-like.

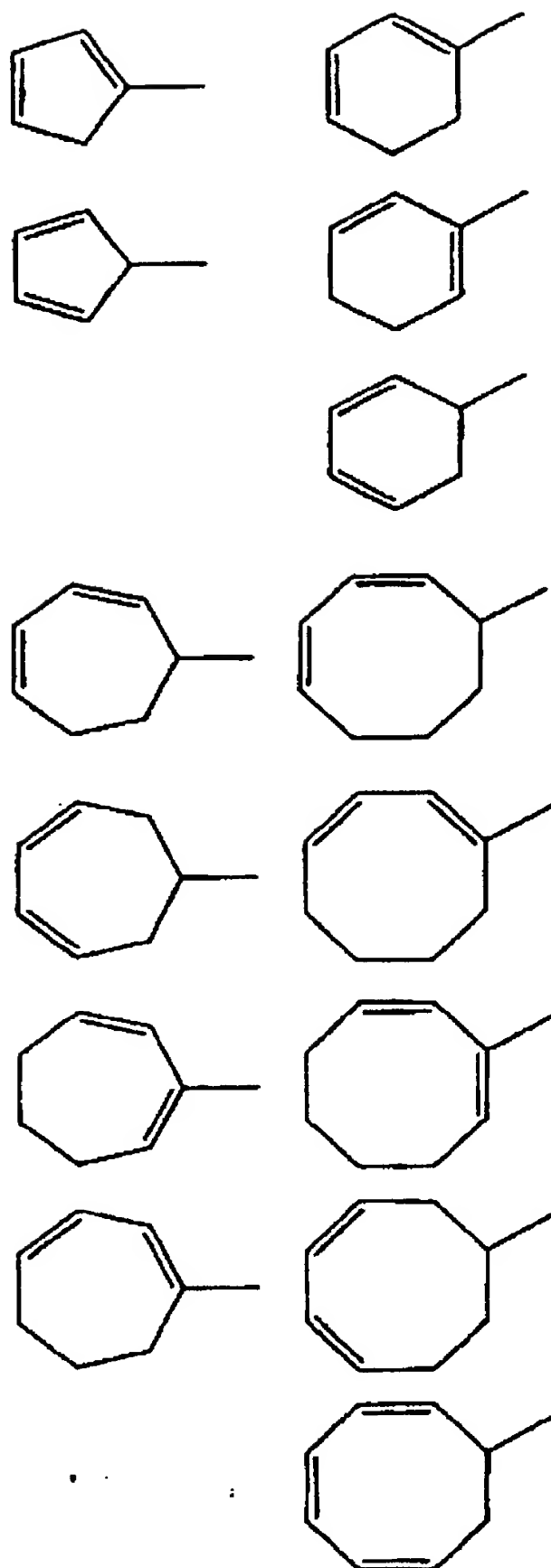
Further, the polymer having an epoxy group at a molecular chain terminus can be used in a curable composition which comprises (A) the epoxy-terminated polymer and (B) a curing agent for epoxy resins. Usable as the curing agent (B) are various ones, for example aliphatic amines, aromatic amines, acid anhydrides, urea, melamine and phenol resins.

Specific uses of the cured products obtainable from the compositions of the invention as mentioned above include sealing materials, adhesives, pressure-sensitive adhesives,

5 The second aspect of the invention is now described.

25 The conjugated polyene structure in the conjugated polyene compound (II) is not particularly restricted but includes, as examples, the following:

30



(in the above formulas, R' , R'' , R^1 , R^2 and R^3 each is a hydrogen atom or an organic group, preferably a hydrocarbon group, containing 1 to 20 carbon atoms; they may be the same or different

or any two of them may be coupled together to form a ring structure).

Specific examples of R' , R'' , R^1 , R^2 and R^3 are not particularly restricted but include the following:

- 5 $-(CH_2)_n-CH_3$, $-CH(CH_3)-(CH_2)_n-CH_3$, $-CH(CH_2CH_3)-(CH_2)_n-CH_3$, $-CH(CH_2CH_3)_2$, $-C(CH_3)_2-(CH_2)_n-CH_3$, $-C(CH_3)(CH_2CH_3)-(CH_2)_n-CH_3$, $-C_6H_5$, $-C_6H_5(CH_3)$, $-C_6H_5(CH_3)_2$, $-(CH_2)_n-C_6H_5$, $-(CH_2)_n-C_6H_5(CH_3)$, $-(CH_2)_n-C_6H_5(CH_3)_2$
 10 (n being an integer of not less than 0 and the total number of carbon atoms in each group being not more than 20).

Among them, a conjugated diene structure is preferred as the conjugated polyene structure from the ready availability viewpoint.

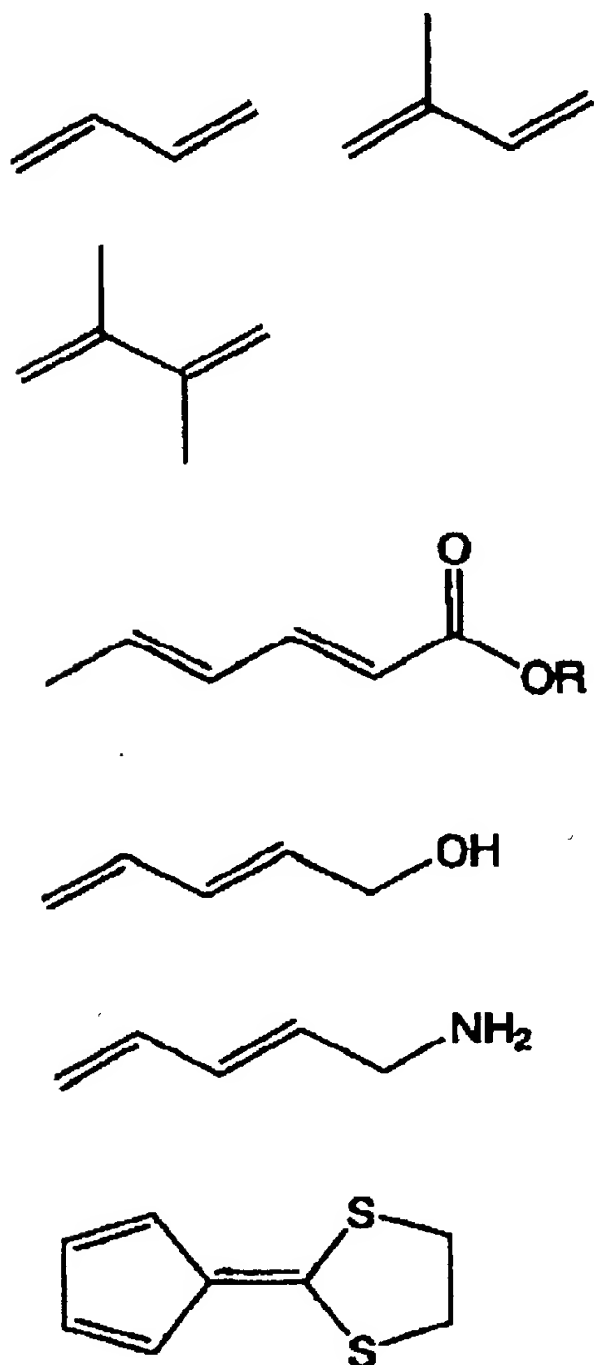
- The conjugated polyene compound (II) may be a compound
 15 having a conjugated polyene structure alone or a compound having a conjugated polyene structure and another functional group. In the case of a compound having a conjugated polyene structure alone, the functional group to be introduced into the polymer at a molecular chain terminus is an alkenyl group. In the case
 20 of a compound having a conjugated polyene structure and another functional group, the alkenyl group and the other functional group are the functional groups to be introduced.

- The functional group to be introduced into the polymer at a molecular chain terminus is not particularly restricted
 25 but includes, as preferred species, hydroxyl, amino, epoxy, carboxyl, ester, ether, amido, crosslinking silyl and terminal or internal alkenyl groups. More preferred are hydroxyl, amino, epoxy, crosslinking silyl, and terminal or internal alkenyl groups. Particularly preferred are terminal or internal
 30 alkenyl groups, however. When the compound (II) has an terminal or internal alkenyl group in addition to a conjugated polyene structure, these are not conjugated with the conjugated polyene structure.

- When the functional group which the compound (II) has is
 35 one possibly affecting the growing polymer terminus or catalyst,

for example an amino, hydroxyl or carboxyl group, such functional group can be used in a form protected with a conventional protective group. As appropriate protective groups, there may be mentioned acetyl, silyl and alkoxy groups.

- 5 The conjugated polyene compound (II) is not particularly restricted but specifically includes the following:



(R being a hydrogen atom or an organic group containing 1 to
10 20 carbon atoms).

In particular, isoprene, piperylene and butadiene are preferred in view of their reactivity and ready availability.

The living radical polymerization and polymerization conditions to be applied in accordance with the second aspect
5 of the invention as well as the radical-polymerizable vinyl monomer to be used therein are the same as those mentioned hereinabove referring to the first aspect of the invention.

In the above production method, the conjugated polyene compound (II) is preferably added at the end point of
10 polymerization so that polymers with a controlled structure may be obtained. The end point of polymerization so referred to herein is the time point at which preferably not less than about 80 mole percent, more preferably not less than 90 mole percent, still more preferably not less than 95 mole percent, still more
15 preferably not less than 99 mole percent, of all the monomers have reacted.

While the addition amount of the conjugated polyene compound (II) is not particularly restricted, it is possible to introduce approximately one (one pair of) functional
20 group(s) into each molecular chain terminus by adding that in an approximately equimolar amount relative to the number of moles of the molecular chain terminus.

When the atom transfer radical polymerization technique is used as the method of living radical polymerization, a
25 halogen group remains at the molecular chain terminus, together with the functional group introduced, in the vinyl polymer obtained in the above manner and having the functional group at a molecular chain terminus. The remaining terminal halogen group can be removed by a substitution or elimination reaction
30 by treating such polymer with an alkaline compound. The above alkaline compound is not particularly restricted but includes such inorganic compounds as sodium hydroxide and potassium hydroxide.

The vinyl polymers obtainable by the production method
35 according to the second aspect of the invention also has the

BEST MODES FOR CARRYING OUT THE INVENTION

10 (Example 1)

After 125 minutes, 4-vinylcyclohexene (22.67 mL, 18.86 g, 174.4 mmol) was added and pentamethyldiethylenetriamine was added. At this time point, the conversion of butyl acrylate was about 90%. Stirring with heating was continued at 70 °C for 280 minutes. The mixture was treated with activated alumina and the excess 4-vinylcyclohexene (boiling point 126 °C) was distilled off by heating under reduced pressure. It was confirmed by ¹H-NMR spectrometry that the internal alkenyl group of 4-vinylcyclohexene had been consumed and the polymer had a terminal alkenyl group.

(Example 2)

The polymerization was carried out in the same manner as in Example 1 and 1,5-cyclooctadiene was added in lieu of 4-vinylcyclohexene. After treatment in the same manner, it was confirmed by ¹H-NMR spectrometry that an alkenyl group had been introduced into the polymer.

35 (Example 3)

The alkenyl-terminated polybutyl acrylate polymer synthesized in the same manner as in Examples 1 and 2 was reacted with methyldichlorosilane using a platinum catalyst. The hydrosilylation reaction proceeded and the silyl group was introduced terminally into the polymer.

(Example 4)

Water and a tin catalyst were added to the crosslinking silyl-terminated polybutyl acrylate polymer synthesized in the same manner as in Example 3, whereupon a rubber-like cured product was obtained.

(Example 5)

Using butyl acrylate, cuprous bromide, diethyl 2,5-dibromoadipate, acetonitrile and pentamethyl-diethylenetriamine, the polymerization was carried out in the manner of atom transfer radical polymerization. At the end point of the polymerization, isoprene was added. The mixture was treated with activated alumina and the volatile matter was distilled off by heating under reduced pressure. It was confirmed by ¹H-NMR spectrometry that an alkenyl group had been introduced into the polymer.

(Example 6)

The alkenyl-terminated polybutyl acrylate polymer synthesized in the same manner as in Example 1 was reacted with methyldichlorosilane using a platinum catalyst. The hydrosilylation proceeded and the silyl group was introduced terminally into the polymer.

(Example 7)

Water and a tin catalyst were added to the crosslinking silyl-terminated polybutyl acrylate polymer synthesized in the same manner as in Example 2, whereupon a rubber-like cured product was obtained.

In a nitrogen atmosphere, a 100-mL glass reactor was charged with cuprous bromide (0.375 g, 2.62 mmol) and acetonitrile (5.00 mL), and the mixture was stirred with heating at 70 °C for 30 minutes. Thereto was added a solution of diethyl 2,5-dibromoadipate (1.57 g, 4.36 mmol) in butyl acrylate (50.0 mL, 0.349 mol), and the mixture was stirred at 70 °C for 20 minutes. Thereto was added pentamethyldiethylenetriamine (91.0 µL, 0.437 mmol). After the lapse of 170 minutes following the start of polymerization, myrcene (3-methylene-7-methyl-1,6-octadiene) (14.8 mL, 87.2 mmol) was added and, after 230 minutes, pentamethyldiethylenetriamine (91.0 µL, 0.437 mmol) was further added. After 335 minutes, the heating was discontinued. At this moment, the consumption of butyl acrylate was 90.7% as determined by GC. The mixture was diluted with ethyl acetate and treated with activated alumina, and the volatile matter was then distilled off under reduced pressure to give a light-yellow polymer. The polymer obtained had a number average molecular weight of 10,700, a weight average molecular weight of 12,600 and a molecular weight distribution of 1.18 as determined by GPC (on polystyrene equivalent basis). ¹H NMR spectrometry revealed that the diene moiety had selectively reacted. The alkenyl group introduction percentage on the number average molecular weight basis was 1.16.

The vinyl polymer of the invention which has a functional group at a molecular chain terminus is stable since the terminal functional group is bonded to the main chain through carbon-carbon bonding and, further, since the structure is well controlled, namely each terminus has only one functional group introduced, the polymer is useful in utilizing the same in a curable composition, for instance. According to the production method of the invention, it is possible to produce

a vinyl polymer having a functional group at a molecular chain terminus as mentioned above from readily available starting materials in an easy and economical manner. Furthermore, the above vinyl polymer, either as such or when combined with an appropriate curing agent, can give rubber-like cured products excellent in heat resistance and weathering resistance.

CLAIMS

1. A production method of a vinyl polymer having a functional group at a molecular chain terminus
 5 which comprises adding a compound (I) having a functional group and an internal alkenyl group either during polymerization or at the end point of polymerization to thereby introduce said functional group into a molecular chain terminus of the polymer in the living radical polymerization of a
 10 radical-polymerizable vinyl monomer.

2. The production method according to Claim 1,
 wherein the functional group to be introduced into a molecular terminus is a hydroxyl, amino, epoxy, carboxyl, ester,
 15 ether, amido, crosslinking silyl or terminal or internal alkenyl group.

3. The production method according to Claim 2,
 wherein the functional group to be introduced into a
 20 molecular terminus is a hydroxyl, amino, epoxy, crosslinking silyl or terminal or internal alkenyl group.

4. The production method according to Claim 3,
 wherein the functional group to be introduced into a
 25 molecular terminus is a terminal or internal alkenyl group.

5. The production method according to any of Claims 1 to 4,
 wherein the compound (I) is a functional group-containing
 30 cyclic olefin.

6. The production method according to Claim 5,
 wherein the compound (I) is a terminal alkenyl-containing cyclic olefin or an internal alkenyl-containing cyclic olefin.

5 8. The production method according to any of Claims 1
to 7,

10 9. The production method according to Claim 8,
 wherein a complex of copper, nickel, ruthenium or iron
 is used as a metal complex catalyst.

11. The production method according to any of Claims 8 to 10,

25 12. The production method according to any of Claims 8
to 11,

30 13. A vinyl polymer
having a functional group at a molecular terminus
and being obtainable by the production method according
to any of Claims 1 to 12.

35 14. The polymer according to Claim 13,

15. The polymer according to Claim 14,
wherein the vinyl polymer is an acrylate ester polymer.

5

16. The polymer according to Claim 15, wherein the vinyl polymer is a butyl acrylate polymer.

17. The polymer according to any of Claims 13 to 16
10 which has a number average molecular weight of 500 to
100,000.

18. The polymer according to any of Claims 13 to 17
which has a weight average molecular weight (M_w)/number
15 average molecular weight (M_n) ratio (M_w/M_n) of less than 1.8
as determined by gel permeation chromatography.

19. A vinyl polymer
having a crosslinking silyl group at a molecular chain
20 terminus
and being producible by reacting a vinyl polymer, which
has an alkenyl group at a molecular chain terminus and is
producible by the production method according to any of Claims
1 to 12, with a crosslinking silyl-containing hydrosilane
25 compound.

20. A vinyl polymer
having a crosslinking silyl group at a molecular chain
terminus
30 and being producible by reacting a vinyl polymer, which
has a hydroxyl or amino group at a molecular chain terminus and
is producible by the production method according to Claim 1,
2, 3, 8, 9, 10, 11 or 12, with a crosslinking silyl-containing
compound having a functional group capable of reacting with a
35 hydroxyl or amino group.

21. A curable composition comprising:

- 5 (A) a vinyl polymer, which has an alkenyl group at a molecular chain terminus and is producible by the production method according to any of Claims 1 to 12,
and (B) a compound having at least two hydrosilyl groups.

22. A curable composition comprising:

- 10 (A) a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 1, 2, 3, 8, 9, 10, 11 or 12,

and (B) a compound having at least two functional groups capable of reacting with a hydroxyl or amino group.

15

23. The curable composition according to Claim 22, wherein the component (B) is a polyisocyanate.

24. A curable composition comprising:

- 20 a vinyl polymer, which has a crosslinking silyl group at a molecular chain terminus and is producible by the production method according to Claim 1, 2, 3, 8, 9, 10, 11 or 12.

25. A curable composition comprising:

- 25 (A) a vinyl polymer, which has an epoxy group at a molecular chain terminus and is producible by the production method according to Claim 1, 2, 3, 8, 9, 10, 11 or 12,
and (B) a curing agent for epoxy resins.

30 26. A production method of a vinyl polymer having a functional group at a molecular chain terminus

which comprises adding a conjugated polyene compound (II) to thereby introduce the functional group derived from the compound (II) into the polymer at a molecular chain terminus
35 in living radical polymerization of a radical-polymerizable

vinyl monomer.

27. The production method according to Claim 26,
wherein the functional group to be introduced into a
5 molecular chain terminus is a hydroxyl, amino, epoxy, carboxyl,
ester, ether, amido, crosslinking silyl or terminal or internal
alkenyl group.

28. The production method according to Claim 27,
10 wherein the functional group to be introduced into a
molecular chain terminus is a hydroxyl, amino, epoxy,
crosslinking silyl or terminal or internal alkenyl group.

29. The production method according to Claim 28,
15 wherein the functional group to be introduced into a
molecular chain terminus is a terminal or internal alkenyl
group.

30. The production method according to any of Claims 26
20 to 29,
wherein a conjugated polyene structure in the conjugated
polyene compound (II) is a conjugated diene structure.

31. The production method according to Claim 30,
25 wherein the conjugated polyene compound (II) is isoprene,
piperylene or butadiene.

32. The production method according to any of Claims 26
to 31,
30 wherein the living radical polymerization is carried out
in the manner of atom transfer radical polymerization.

33. The production method according to Claim 32,
wherein a complex of copper, nickel, ruthenium or iron
35 is used as a metal complex catalyst.

34. The production method according to Claim 33,
wherein a copper complex is used as a metal complex
catalyst.

5

35. The production method according to any of Claims 32
to 34,

wherein an organic halide having a functional group in
addition to an initiation site or a halosulfonyl compound having
10 a functional group in addition to an initiation site is used
as an initiator.

36. The production method according to any of Claims 32
to 35,

15 wherein an initiator having a plurality of initiation
sites is used as an initiator.

37. The production method according to any of Claims 26
to 36,

20 wherein the conjugated polyene compound (II) is added at
the end point of the polymerization reaction.

38. A vinyl polymer

25 having a functional group at a molecular chain terminus
and being obtainable by treating a vinyl polymer, which
has the functional group at a molecular chain terminus and is
obtainable by the production method according to any of Claims
26 to 37, with an alkaline compound to thereby eliminate the
remaining terminal halogen.

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39. A vinyl polymer

having a functional group at a molecular chain terminus
and being obtainable by the production method according
to any of Claims 26 to 38.

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43. The polymer according to any of Claims 39 to 42 which has a number average molecular weight of 500 to 100,000.

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and being producible by reacting a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 29, 30, 32, 33, 34, 35, 36, 37 or 38, with a crosslinking silyl-containing compound having a functional group capable of reacting with a hydroxyl or amino group.

47. A curable composition comprising:

- 5 (A) a vinyl polymer, which has an alkenyl group at a molecular chain terminus and is producible by the method according to any of Claims 26 to 38,
and (B) a compound having at least two hydrosilyl groups.

48. A curable composition comprising:

- 10 (A) a vinyl polymer, which has a hydroxyl or amino group at a molecular chain terminus and is producible by the production method according to Claim 29, 30, 32, 33, 34, 35, 36, 37 or 38,
and (B) a compound having at least two functional groups capable of reacting with a hydroxyl or amino group.

15

49. The curable composition according to Claim 48, wherein the component (B) is a polyisocyanate.

50. A curable composition comprising:

- 20 a vinyl polymer, which has a crosslinking silyl group at a molecular chain terminus and is producible by the production method according to Claim 29, 30, 32, 33, 34, 35, 36, 37 or 38.

51. A curable composition comprising:

- 25 (A) a vinyl polymer, which has an epoxy group at a molecular chain terminus and is producible by the production method according to Claim 29, 30, 32, 33, 34, 35, 36, 37 or 38,
and (B) a curing agent for epoxy resins.

ABSTRACT

This invention provides a production method of a vinyl polymer having a functional group at a molecular chain terminus which comprises adding a compound (I) having a functional group and an internal alkenyl group or conjugated polyene compound (II) in the living radical polymerization of a radical-polymerizable vinyl monomer. The present invention relates to a vinyl polymer having a functional group at a molecular terminus, a production method of the same and a curable composition containing said polymer.

Docket No. 010903

Armstrong, Westerman Hattori, McLeland & Naughton, LLP

Declaration for U.S. Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention **entitled**

**POLYMER, PROCESS FOR PRODUCING THE POLYMER AND CURABLE COMPOSITION
CONTAINING THE POLYMER**

the specification of which is attached hereto unless the following is checked

☒ was filed on January 28, 2000 as United States Application Number or PCT International Application
Number PCT/JP00/00452 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

	<u>PCT/JP00/00452</u>	<u>JAPAN</u>	<u>28/January/2000</u>	Priority Claimed
	(Number)	(Country)	(Day/Month/Year Filed)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(List prior foreign applications. See note A)	_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No
	(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No

(See note B) ☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

			Status
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
(List prior U.S. Applications)	_____	_____	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
	(Application Serial No.)	(Filing Date)	<input type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:



23850

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C) Full name of sole or first inventor (given name, family name) Yoshiki Nakagawa

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Full name of fourth inventor (given name, family name) _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

Full name of fifth inventor (given name, family name) _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

Full name of sixth inventor (given name, family name) _____

Inventor's Signature _____ Date _____

Residence _____ Citizenship _____

Post Office Address _____

NOTES

- A. Please list all foreign applications relating to the invention and check "yes" or "no."
- B. If more than 4 prior foreign applications, please check this box and attach a sheet listing the remaining prior foreign applications.
- C. For residence in the U.S., indicate city and state, for residence outside the U.S., indicate city and country. The "Post Office Address" must be an address acceptable by a Post Office for delivery of mail.